Maskless, Resistless Ion Beam Lithography Processes

by

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Abstract

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Doctoral of Philosophy in Engineering – Electrical Engineering and Computer Sciences

University of California, Berkeley

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As the dimensions of semiconductor devices are scaled down, in order to achieve higher levels of integration, optical lithography will no longer be sufficient for the needs of the semiconductor industry. Alternative next-generation lithography (NGL) approaches, such as extreme ultra-violet (EUV), X-ray, electron-beam, and ion projection lithography face some challenging issues with complicated mask technology and low throughput. Among the four major alternative NGL approaches, ion beam lithography is the only one that can provide both maskless and resistless patterning. As such, it can potentially make nano-fabrication much simpler. This thesis investigates a focused ion beam system for maskless, resistless patterning that can be made practical for high-volume production.

In order to achieve maskless, resistless patterning, the ion source must be able to produce a variety of ion species. The compact FIB system being developed uses a multicusp plasma ion source, which can generate ion beams of various elements, such as

 ${\rm O_2}^+,~{\rm BF_2}^+,~{\rm P}^+$ etc., for surface modification and doping applications. With optimized source condition, around 85% of ${\rm BF_2}^+,~{\rm over}~90\%$ of ${\rm O_2}^+$ and ${\rm P}^+$ have been achieved.

The brightness of the multicusp-plasma ion source is a key issue for its application to maskless ion beam lithography. It can be substantially improved by optimizing the source configuration and extractor geometry. Measured brightness of 2 keV He⁺ beam is as high as 440 A/cm²·Sr, which represents a 30× improvement over prior work.

Direct patterning of Si thin film using a focused O₂⁺ ion beam has been investigated. A thin surface oxide film can be selectively formed using 3 keV O₂⁺ ions with the dose of 10¹⁵ cm⁻². The oxide can then serve as a hard mask for patterning of the Si film. The process flow and the experimental results for directly patterned poly-Si features are presented. The formation of shallow *pn*-junctions in bulk silicon wafers by scanning focused P⁺ beam implantation at 5 keV is also presented. With implantation dose of around 10¹⁶ cm⁻², the electron concentration is about 2.5×10¹⁸ cm⁻³ and electron mobility is around 200 cm²/V·s. To demonstrate the suitability of scanning FIB lithography for the manufacture of integrated circuit devices, SOI MOSFET fabrication using the maskless, resistless ion beam lithography is demonstrated.

An array of microcolumns can be built by stacking multi-aperture electrode and insulator layers. Because the multicusp plasma source can achieve uniform ion density over a large area, it can be used in conjunction with the array of microcolumns, for massively parallel FIB processing to achieve reasonable exposure throughput.

The dissertation abstract of Qing Ji is appr	roved:
Professor Tsu-Jae King	Date
Committee Chair	

To my family

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Chapter 1

Introduction

1.1 Background

Moore's Law states that the number of devices on a chip doubles every 18 months.¹ Lithography advancements, increased wafer size and design innovation are three main constituents of the technology improvements that have kept the industry on this pace for more than 30 years. Among these three, lithography is the most important driver since roughly half of the density improvements have been derived from improvements in lithography. ^{2,3} Without the continuous improvements in lithographic process and equipment technology, personal computers, cell phones, and the Internet would not be in widespread use today.

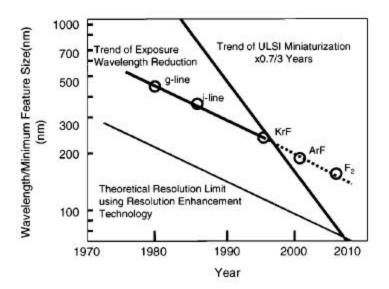


Figure 1.1 Comparison of lithography wavelength trends with IC feature size trend.²

To obtain the desired feature size, the semiconductor industry has relied on optical lithography for nearly three decades. The resolution of an optical lithography system is usually expressed in terms of its wavelength λ and numerical aperture (NA) as

$$Resolution = k_1 \frac{1}{NA} \tag{1}$$

where k₁ factor is the process-dependent coefficient of the resolution criterion for a diffraction limited lens. As shown in Fig. 1.1, the characteristic wavelength has decreased from G-line at 435 nm to I-line at 365 nm, which was followed by a switch to excimer laser sources with KrF at 248 nm, ArF at 193 nm, and is currently migrating to 157 nm. Optical lithography is expected to continue as such through the 65 nm node,³ through the application of resolution enhancement techniques such as off-axis illumination (OAI), phase shifting masks (PSM) and optical proximity corrections (OPC). However, optical lithography will be reaching its limits, and the extension of the roadmap requires the development of the next generation lithography technologies.

1.2 Next generation lithography techniques

The next generation lithography techniques have been explored for beyond the 100nm lithography node. There are four alternative technologies for a next generation lithography tool: Extreme Ultra Violet (EUV) lithography, X-ray lithography, electron beam lithography and ion beam lithography.

1.2.1 Extreme UltraViolet (EUV) lithography

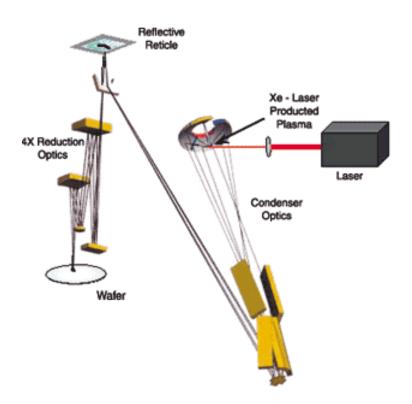


Figure 1.2 Schematic diagram of the EUV lithography system.⁴

In principle, EUV is a logical extension of optical lithography to very short wavelengths. A schematic diagram of a EUV lithography tool is shown in Fig. 1.2. A laser-induced Xe plasma or synchrotrons radiation is used to generate 13-nm-wavelength

EUV, which is collected by condenser optics and focused as a narrow arc of illumination onto a reflective reticle. The reticle is imaged onto the wafer using 4× reduction optics realized by four mirrors coated with multilayers of Mo/Si. ⁴ It has been demonstrated that all the components of EUV technology can be integrated into a fully functional prototype EUV exposure tool, which can pattern 70nm features. ^{5,6}

Currently, the EUV source and multiplayer-coating masks are the most challenging hurdles facing EUV lithography. A high power source that can support an exposure tool throughput of sixty 300 mm wafers per hour is required to assure EUV for massive IC production. Since even very small (30Å) defects in the multilayers can print unwanted features on the wafer,² another serious issue for EUV lithography is in creating multilayer coating masks with no defects.

1.2.2 X-ray lithography

X-ray lithography has been under development since the early 1980s, reaching an advanced level of performance once the $1\times$ mask-making had been solved and the synchrotron radiation x-ray source adopted. The main technical limitation of x-ray lithography is mask making, as the $1\times$ reticle must be manufactured, compared to $4\times$ or $5\times$ as for other systems. This places x-ray lithography used mainly on the pattern generation machines, which are not yet capable of delivering the throughput and accuracy acquired for next generation lithography tools.

Research has demonstrated that devices can be scaled down to sub-20 nm and smaller,⁸⁻¹⁰ therefore much shorter wavelength radiation need to be considered for patterning such small features.

The wavelength for charge particles, such as electrons and ions, can be calculated using the following formula.

$$I = \frac{h}{\sqrt{mE/2}} \tag{2}$$

where h is Plank constant, m is the mass, and E is the energy of the charge particle. For 100 keV electron beam, the wavelength is about 4×10^{-3} nm, while for 100 keV helium ion beam, the wavelength is about 5×10^{-5} nm. The higher the mass and the energy of the particle, the shorter is the wavelength. Instead of being diffraction limited, the electron beam or ion beam lithography tool are limited by the aberration of the optical design. Theoretically, they can be used as lithography tools at 30 nm node and beyond.

1.2.3 Electron beam lithography

There are two major electron projection lithography schemes: the scattering with angular limitation projection electron-beam lithography (SCALPEL) approach started at Bell Laboratories¹¹ and the projection reduction exposure with variable axis immersion lenses (PREVAIL) which is pursuing by IBM in cooperation with Nikon. ^{12,13}

The SCALPEL approach combines the high resolution and wide process latitude inherent in electron beam lithography with the throughput of a parallel projection system and avoids the problems of stencil masks. As shown in Fig 1.3(a), in the SCALPEL system, a mask consisting of a low atomic number membrane and a high atomic number pattern layer is uniformly illuminated with high energy (100 keV) electrons. The entire mask structure is essentially transparent to the electron beam and little of its energy is deposited there. The portions of the beam that pass through the high atomic number pattern layer are scattered through angles of a few miliradians. An aperture in the back

focal plane of the electrons produces a high contrast image at the plane of the semiconductor wafer.¹¹

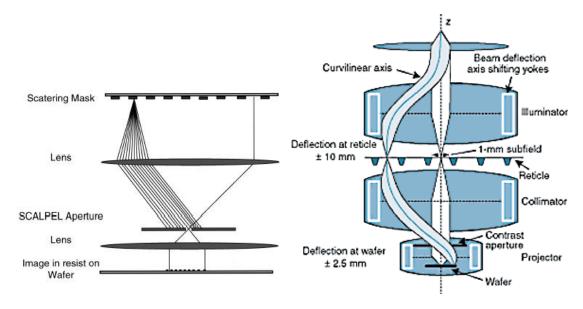


Figure 1.3 (a) Schematic diagram of the SCALPEL step-and-scan system¹¹, (b) Schematic diagram of PREVAIL imaging concept of curvilinear variable-axis lenses. ¹²

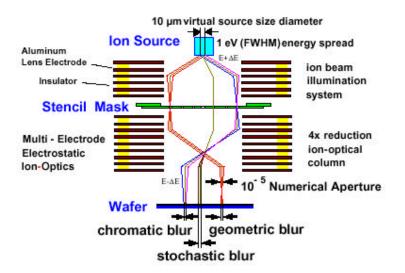
Figure 1.3(b) shows the basic PREVAIL imaging concept. The illuminator is a magnetic lens system providing illumination for each subfield pattern by imaging a 1×1 mm shaped beam onto the reticle. Collimator and projector lenses are forming an antisymmetric telecentric doublet known to inherently have a minimum of geometric aberrations. The curved beam path schematically illustrates illumination and imaging of a subfield at the edge of the optical field of view. The PREVAIL technique permits shifting of the electron optical axis along a predetermined curvature, while simultaneously deflecting the electron beam to precisely follow the curvilinear variable axis. ¹²

The supreme challenge facing all e-beam lithography approaches has been and still is throughput in wafers per hour. Throughput in electron-beam lithography (EBL) is directly proportional to the total beam current delivered to expose a resist-coated wafer.

High beam current produces increased blur, which limits lithography resolution. For a single beam, it will be increasingly difficult to obtain throughput due to electron-electron interaction. Multiple beam system is one of the solutions to overcome this problem.

1.2.4 Ion beam lithography

Efforts have been devoted to developing ion projection lithography (IPL) in Europe and Unite States. Figure 1.4(a) shows that IPL uses the same principles as optical steppers with mask patters being printed to resist coated wafer substrates. Hydrogen or Helium ions are extracted from an ion source. Multi-electrode electrostatic ion optics is implemented to generate a broad ion beam illuminating the reticle and to project the image of the stencil mask patterns to the wafer substrate. ¹⁴ Lines with 50 nm in width can be printed with an exposure dose of 0.3 μ C/cm² of 75 keV He⁺ ions into standard 300 nm thick DUV resist (Shipley UV II HS). ¹⁵



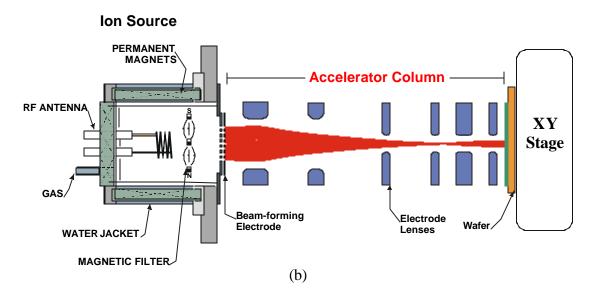


Figure 1.4 (a) European MEDEA Ion Projection lithography. ¹⁴ (b) Schematic of Ion Projection Lithography in LBNL. ¹⁶

The thickness of a stencil mask is only of a few microns, therefore it is very fragile and hard to handle. Fabrication of a stencil mask is very costly, similar to the mask involved in electron beam lithography. The Maskless Micro-ion beam Reduction Lithography (MMRL) ¹⁶ scheme shown in Figure 1.4(b) is to completely eliminate the first stage of the conventional IPL system that contains the complicated beam optics design in front of the stencil mask and the mask itself. The main components of the MMRL system consist of a multi-cusp plasma generator, a multi-beamlet extraction system, and an accelerator column for beam reduction. A universal pattern generator, with an array of multi-beamlet apertures, which can be individually switched on or off by biasing each aperture in the second electrode with respect to the first, is used to generate any desired pattern to be projected onto the wafer substrate. Feature size as small as 166 nm has been demonstrated. ¹⁷

Ions are much heavier than electrons. Therefore, the wavelength of ions is two orders of magnitude shorter than electrons at the same energy. Ion beam resolution higher than electron beam may be achievable. The actual beam size achieved by an ion projector is governed by ion source parameters (virtual source size, energy spread of the extracted ions) and errors of the electrostatic lens system.

Another advantage of using ions over electrons is that ions experience much reduced backscattering and proximity effect. Resists are more sensitive to ions too, which results in potentially higher throughput. However, it may bring in shot noise problem in resist exposure. ¹⁸

1.3 Maskless, resistless ion beam lithography

1.3.1 Motivation

As mentioned above, significant challenges exist today for each of these techniques: issues with complicated mask technology for EUV, X-ray and projection ion-beam; issues with photoresist material and proximity effect for e-beam lithography. A lot of progress has been made on non-conventional lithography techniques such as nanoimprint, but none can do without masks. 19-22

This thesis is to develop a maskless, resistless ion beam lithography process. By eliminating masks and resist, not only all the related issues will be eliminated, but also the processes will be much cheaper and much simpler. Such technology would have enormous cost savings for the semiconductor industry by eliminating technology efforts for mask developments, defect detection, and defect correction. Other advantages of a maskless system are the flexibility for the implementation of new design quickly,

producing chips with difference functions on the same wafer, which would save the industry millions of dollars by allowing rapid implementations of performance and yield improvements.

1.3.2 Maskless, resistless ion beam lithography processes

Focused oxygen ions can be used to form a thin silicon dioxide layer on the silicon surface, which serves as a hard mask in reactive ion etching process when patterning the gate area. Focused boron or phosphorus ion beams can be directly implanted to form shallow junction. Fig. 1.5 shows a plane view of a SOI MOSFET. Both source/drain area and gate can be patterned using focused oxygen ion beam, and source/drain area can be selectively doped with FIB. There is no mask and resist involved in the whole process.

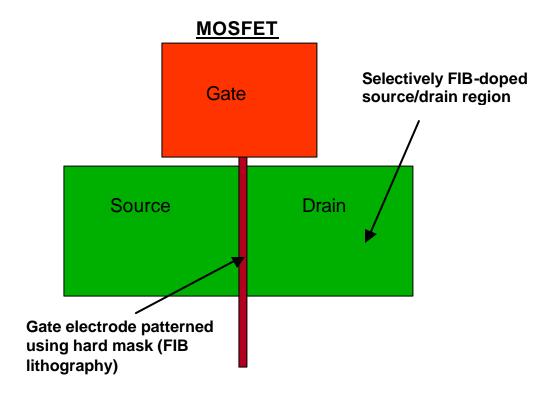


Figure 1.5 MOSFET fabrication using maskless, resistless ion beam lithography.

The development of a maskless, resistless ion beam lithography system has been carried out in Lawrence Berkeley National Laboratory. Fig. 1.6 shows the dedicated test stand in a clean room facility. The basic components of such a system are the following: (1) a multicusp plasma ion source, (2) a focused ion beam column, (3) a vacuum chamber with a typical base pressure of mid 10⁻⁷ Torr, (4) a vibration isolation platform, (5) a multi-axis stage for precise substrate positioning and scanning, (6) a load lock for sample handling, (7) power supplies for system operation, and (8) a gas delivery system.

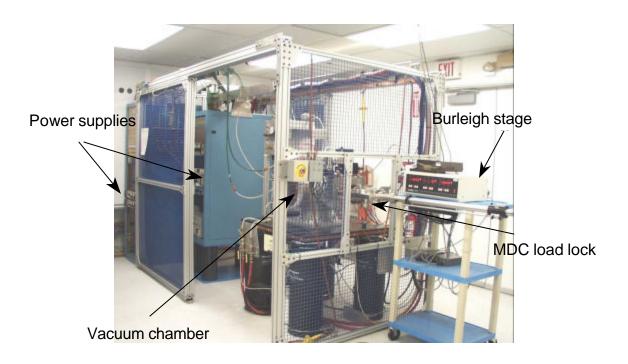


Figure 1.6 Picture of dedicated test stand of maskless, resistless ion beam lithography system.

1.3.3 Ion source requirement

High-resolution focused ion beams (FIB) have been applied in a number of technologically important ways: maskless implantation into semiconducting materials; lithographic mask repair for visible light and x rays; micromachining to create micrometer structures; deposition of materials from the vapor phase by ion-induced decomposition; modification of integrated circuits; failure analysis as part of the integrated circuit manufacturing process; and surface analysis. 23-24 In a conventional FIB system, a liquid-metal ion source (LMIS) is used to deliver current in the range of a few hundred pA into a spot size of approximately 50 nm.23 The disadvantage of the LMIS is that it is limited to producing only certain metallic elements such as gallium. In recent years, a new type of source, the gas field ionization source (GFIS) has been developed for operation in a gaseous phase.²⁵ The GFIS is capable of generating high-brightness ion beams of hydrogen, helium or neon that can be focused down to about 10 nm spot size. However, there are some disadvantages of the GFIS. A sophisticated cryogenic system is needed for maintaining a gas reservoir at the "super-tip". Also, the extractable current typically decreases with increasing ion mass.

In 1970s, Seliger *et al* ²⁶⁻²⁸ first attempted to use ion beams in transistor fabrication. However, in order to achieve resistless lithography, the ion source has to be able to produce variable ion species, such as oxygen, boron, and phosphorus. Multicusp plasma ion source can generate various ion species, which makes resistless processes possible. Chapter 2 will discuss the principle of a multicusp plasma ion source, summarize the characteristics, such as the energy spread of the beam extracted from the source, the current density of the beam as a function of discharge power, extraction voltage, etc. The

production of oxygen, boron, and phosphorus ion beam and the direct patterning processes will be presented in Chapter 5.

1.3.4 Throughput requirement

Throughput has historically been a prohibitive issue for FIB's application to lithographic processes in semiconductor manufacturing. Clearly, in order for this technology to be viable for high-volume manufacturing, significant improvements in beam brightness and current density must be attained to achieve higher process throughput.

Chapter 3 will present the spectral source brightness measurement, and then discuss the improvement of the beam brightness. The beam brightness of a multicusp plasma ion source can be substantially improved by optimizing the source configuration and extractor geometry.

All-electrostatic lenses are often used to focus massive particles such as ions because round magnetic lenses have rather weak focusing properties for ion. Chapter 4 will present the design of a compact accelerator column for focused Q^+ beam formation. Ion transport code IGUN^{29, 30} and charge particle optics design software³¹ provided by Munro's Electron Beam Software Ltd. are used to analyze and optimize the performance of such an accelerator column. Beam spot size results will be presented, and also confirmed by experimental measurements.

The use of a multibeam approach can also result in improved throughput while maintaining pattern accuracy and quality. Similar approach has also been used in maskless electron beam lithography for throughput enhancement. 32-35 Fig 1.7 shows the

basic concept of the arrayed microcolumn approach. An array of miniaturized electron beam column is used to generate patterns in parallel to achieve high throughput. Patterns can be written in either raster- or vector-scan mode with the beam scanning over only a narrow stripe, with a laser-controlled stage moving continuously in the orthogonal direction to build up the complete chip pattern. The current in each beam can be considerably reduced and at the same time, a high total current can be maintained at the wafer surface.

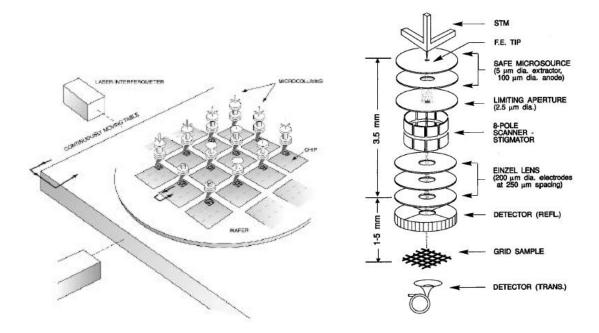


Figure 1.7 (a) Schematic diagram of the arrayed microcolumn e-beam lithography concept. (b) Schematic diagram of miniature e-beam column. ³³

The multi-beam focused ion beam lithography system is very similar to the arrayed microcolumn e-beam system shown above, the difference is that only one multicusp plasma source is used to generate uniform, larger area plasma. By applying different bias on a stack of electrodes with multiple apertures which act as extractors, the beam can be

turned on and off; therefore no mask is needed to generate different patterns. The detailed discussion of the multi-beam system will be presented in Chapter 6.

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Chapter 2

Multicusp Plasma Ion source for MRIBL

1.4 Overview of FIB

Focused ion beams (FIBs) have been used extensively in the semiconductor industry for many applications such as micromachining, mask repair, circuit modification and failure analysis. As the lateral dimensions of semiconductor devices are scaled down, next generation lithography (NGL) technology will replace optical lithography integrated-circuit manufacture. Among four major alternative NGL approaches, ion beam lithography is the only one which can provide both maskless and resistless patterning. As such, it can potentially make nano-fabrication much simpler. For this to happen, the ion source must produce a variety of ion species.

Figure 2.1 is a plot of a conventional FIB system⁴, which consists of an ion source, a condenser lens, a E×B filter to select certain ion species, an objective lens to focus the beam, and a sample holder. In such a system, a liquid-metal ion source (LMIS) is usually employed, which is limited to producing only certain metallic elements such as gallium.

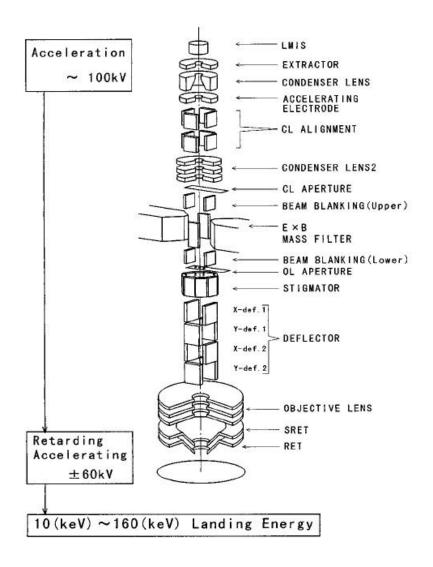


Figure 2.1 A conventional FIB system: Source (LMIS), condenser lens, EXB filter, objective lens, sample holder.⁴

There has been some research on direct deposition of insulator or metallic material using FIB system. Since LMIS is limited to Ga ion production, a certain precursor gas is needed. The deposition process⁵ is illustrated in Figure 2.2: first, the precursor gases are sprayed on the surface by a fine needle ("nozzle"), where they are adsorbed; second, the incoming ion beam decomposes the adsorbed precursor gases; then, the volatile reaction products are desorbed from the surface and are removed through the vacuum system, while the desired reaction products (W or SiO₂) remain fixed on the surface as a thin

film. Using this approach, researchers have successfully deposit SiO₂ ⁵ and Au⁶, W, Pt, Cu onto Si film, ⁷⁻¹⁰ Si in GaAs film¹¹. However, the deposited material is not fully pure because organic contaminants as well as Ga atoms (from the ion beam) are inevitably included in the deposited film.⁵ Therefore, conventional FIB system with LMIS is not suitable for resistless lithography. Ion source that can generate variable elements needs to be investigated.

Another disadvantage of conventional FIB lithography system is low throughput.

Although multiple beams can enhance the speed of exposure process, it is not practical to incorporate thousands of LMIS's together into one system. Fabrication, operation, and maintenance of such a huge system may be a nightmare. The current uniformity of each single LMIS will also increase the burden of control circuit. In a word, a uniform, large area plasma ion source is one promising solution.

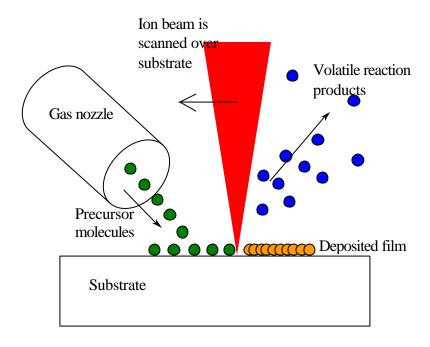


Figure 2.2 Direct deposition with precursor gas using FIB system.⁵

2.2 Multicusp Plasma Ion Source

Plasma sources, in particular multicusp generators, have been used for many applications, such as in neutral beam injectors for fusion devices, particle accelerators, ion implantation systems, neutron tubes for oil well logging and proton therapy machines. 12, 13

2.2.2 Principle of multicusp plasma source

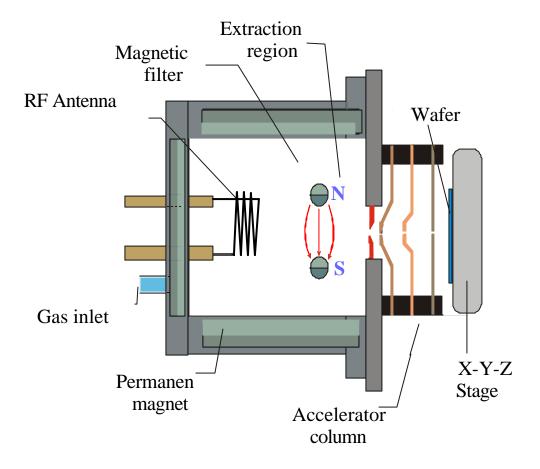


Figure 2.3 Schematic of a RF-driven multicusp plasma source

Figure 2.3 shows a schematic diagram of a RF driven multicusp ion source. The source is surrounded with columns of permanent magnets. The surface magnetic field generated

by rows of permanent magnets, typically of samarium-cobalt, can confine the primary ionizing electrons very efficiently.

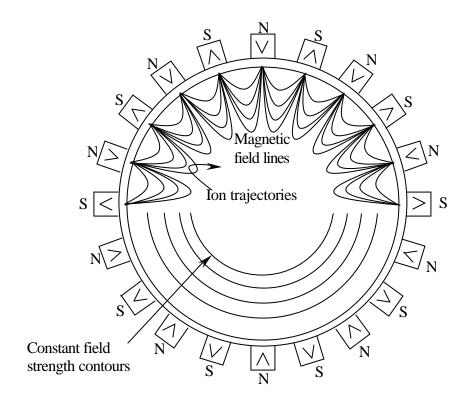


Figure 2.4 Magnetic multicusp confinements in cylindrical geometry, illustrating the magnetic field lines and the constant B surfaces near the circumferential walls.

Figure 2.4 shows a cross-sectional view of the source chamber and the magnetic field distribution. A uniform plasma is achieved with the multicusp ion source by arranging the magnets around the source chamber in such a way as to generate line-cusp magnetic fields, as shown in Fig. 2.4. The magnetic field strength B is a maximum near the magnets and decays with distance into the chamber. Most of the plasma volume can be virtually magnetic field free, while a strong field can exist near the discharge chamber wall, inhibiting plasma loss and leading to an increase in plasma density and uniformity. Fig. 2.5 shows the radial density profile of a multicusp source. The capability of the

multicusp source to produce large-area of uniform plasma enables one to generate multiple ion beams from a single source. The advantage of using one source over multiple sources is less probability of failure, which is proportional to N^2 (N is the number of sources involved).

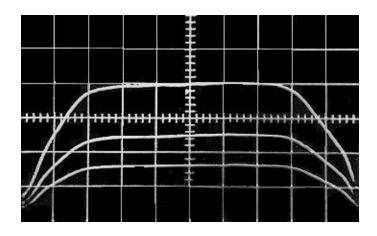


Figure 2.5 Radial density profile of a multcusp source.

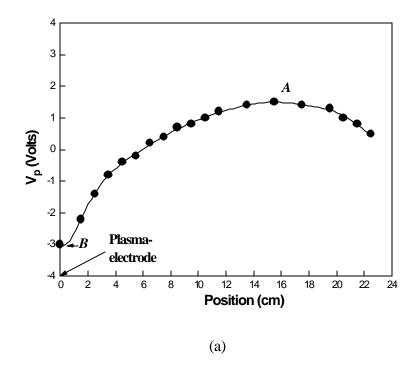
2.2.2 RF discharge plasma

Multicusp ion source plasmas are generated by either filament dc discharge or RF induction discharge. RF discharge is chosen over filament discharge because it can generate a higher density and cleaner plasma with a longer lifetime. Tungsten filament cannot survive in oxygen plasma. Only RF discharge can be used to generate oxygen ions. Higher ion current density can be generated by RF induction discharge than filament discharge because higher power density can be applied. Filaments are limited to less than 250W of power, while RF can go as high as 5 to 10 kW. In a filament discharge plasma, filament material will be either sputtered or evaporated into the interior of the chamber and cause contamination. The emitted material could plug up the small

extraction aperture of the FIB system as well. Quartz antenna is used in RF discharge plasma generation. As a result, much cleaner plasma is produced. Finally, the lifetime of an antenna is longer than a filament. Antenna lifetime greater than 500 hours continuous wave operation has been demonstrated. ¹⁴

The RF antennas can be made of different materials. For example, metals such as copper, aluminum, and titanium have been used and can operate when left bare or coated with porcelain or quartz. The porcelain or quartz coated antenna can withstand the ion bombardment from the plasma and are protected against electrical as well as thermal damage. In addition, the coated antenna is energy efficient in plasma production since it eliminates the direct contact between the plasma and the metallic coil. Porcelain-coated antennas have been tested in hydrogen plasmas under cw operation for up to 20h at an rf input power of 10 kW and tens of minutes at 20 kW, without any sign of degradation of the antenna coating. At lower power levels (~5 kW), antenna lifetime in excess of 260 h have been reported. 15 However, if oxygen is used as the feeding gas, the porcelain antenna cannot survive more than 10 h of continuous operation. 16, 17 To address this issue, two kinds of quartz antennas had been tested: a quartz tube that had a silver wire inside and a quartz tube that had a titanium tube inside. The disadvantage of wire type antenna to tube type is that in case of antenna failure, the cooling water, which is flowing inside the quartz tube, leaks into the vacuum chamber. Titanium tube antenna is structurally more rigid. Thus antenna failures, which are caused by mechanical stresses of fragile quartz tubes, are greatly reduced. If for some reason antenna fails during the source operation, only air will be leaked into the source. From the operational point of view, this failure is much easier to handle compared to water leakage. ¹⁴

2.2.3 Axial energy spread



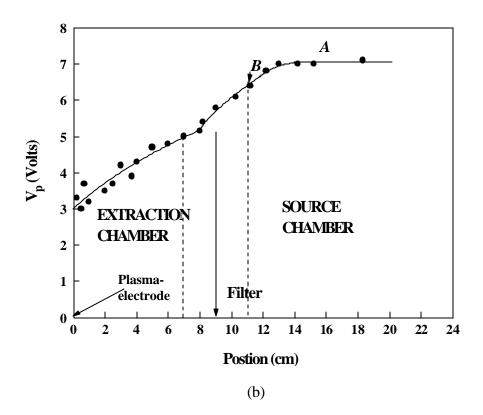


Figure 2.6 Axial plasma density (a) without and (b) with a magnetic filter. ¹⁸

As a source for lithography application, one of the most important parameters is the energy spread. Low energy spread results in low chromatic aberration, which will ease the design of ion optics for low energy focus ion beam system.

Several factors can contribute to the energy spread. In the case without the magnetic filter, the axial plasma potential (Vp) profile in Fig. 2.6(a) shows that the plasma potential decreases monotonically towards the plasma electrode. A and B are the maximum and minimum plasma potential values where ions can be born. Ions born at position A have more energy when they arrive at the exit aperture than ions born in positions B, given by the difference in potential between the two points. Positive ions generated at high Vp will reach the extractor as well as the ions created at lower potentials. Since the ions are generated at positions with different plasma potential, they will have a spread in axial energy when they arrived at the extraction aperture. In addition, ionization may take place in the plasma sheath of the extraction aperture, which may also lead to an increased energy spread. 18

The magnetic filter placed in front of the extraction region as shown in Fig. 2.3 can reduce the axial energy spread of the ions. From the Vp distribution measurement in Fig. 2.6(b), the presence of filters created a region with a relatively uniform Vp profile in the discharge chamber region. Primary electrons are confined in the source chamber by the filter magnet fields as well as the multicusp field on the chamber walls. The axial potential gradient in the extraction region produces no effect on the energy spread. Since all the positive ions are produced within the source chamber region, they arrive at the plasma electrode with about the same energy due to the uniform Vp distribution. However, there is still a small potential gradient, given by the potential difference

between point A and B between the center and the filter region that causes a small spread.

The axial energy spread can be optimized to a value of 1eV or less¹⁹⁻²¹, which is much smaller than other type of plasma source and LMIS.

2.3 Current density of Multicusp Plasma Ion source

2.3.1 Ion extraction from a plasma source

The maximum ion current density that can possibly be extracted from the multicusp source follows the Child-Langmuir law,

$$j_i = \frac{4}{9} \, \boldsymbol{e}_0 \sqrt{\frac{2e}{m}} \frac{U^{3/2}}{d} \tag{1}$$

where j is the current density of ions in the plasma, U is the voltage between the first and the second electrode, d is the distance between the first two electrodes, m is mass of the ions, and ε_0 is the permissivity constant. As shown in Figure 2.7, there are three cases of ion extraction from plasma sources: (a) overdense plasma; (when the plasma density is relatively high and/or the extraction field is low, meniscus will be approximately spherical with its center of curvature inside the plasma) (b) intermediate plasma; (nearly planar under optimum conditions) and (c) underdense plasma (when the plasma density is low or, correspondingly higher extraction field, meniscus is spherical with the center of curvature outside the plasma). The miniscus shape of the plasma can be changed by adjusting the voltage and distance of the extraction lens.

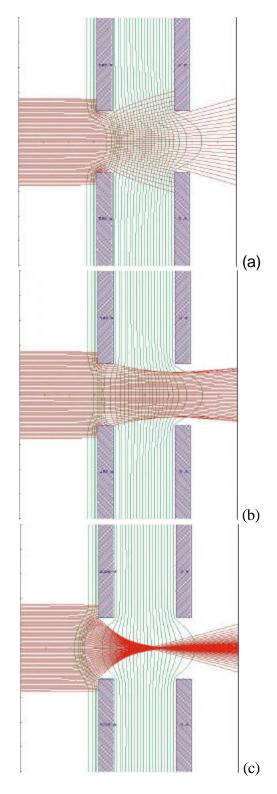


Figure 2.7 Plasma extraction: (a) overdense plasma, (b) intermediate density plasma, (c) underdense plasma.

The current density of multicsup plasma ion source has been measured as a function of extraction energy, input RF power, and the source size. Figure 2.9 shows the set up of measuring the current density of the source. The beam is extracted from the source through a 1-mm-diameter aperture and the current is detected by a Faraday cup, on which a pair of permanent magnet is mounted to prevent secondary electron from coming out.

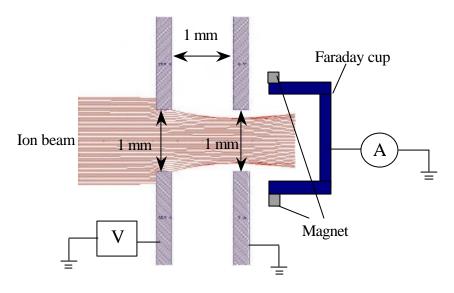


Figure 2.8 Current density measurement setup.

2.3.2 Current density as a function of extraction voltage

For a fixed extraction gap, the current density increases with the extraction energy. When the applied extraction voltage is low, the plasma is overdense. Ion beams are extracted divergently and most of the current is blocked by the second electrode (Fig 2.7a). As the extraction voltage increases, the divergent angle becomes smaller and smaller, leading to increasing current through the second aperture. The current density as a function of extraction voltage is shown in Figure 2.9.

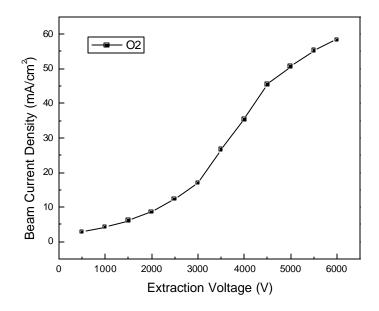


Figure 2.9 Oxygen ion current density as a function of the extraction voltage.

2.3.3 Current density as a function of RF power

The extracted oxygen ion current was measured as a function of input RF power at a gas pressure of 20 mTorr in the source chamber and extraction voltage of 5 kV. The results are shown in Fig. 2.10. The higher the RF input power, the more plasma the source will produce. Therefore, the more ion current can be extracted. When the RF power is above 1500W, deviation from the linear trend is observed. Increases of RF power result in higher plasma density. Therefore, the plasma is changing from balanced condition to over dense condition as shown in Fig. 2.7. Beams become divergent and loss of current may occur. Higher extraction voltage is needed to balance the increased plasma density inside the source. At an RF input power of 2500 W, the oxygen ion current density can be as high as 120 mA/cm².

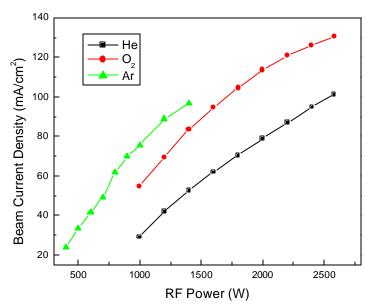


Figure 2.10 Oxygen ion current density as a function of input RF power.

2.3.4 Current density as a function of source size



Figure 2.11 Picture of multicusp plasma source with chamber diameter of 5cm, 7.5cm and 10cm (from the left to the right).

Table 2.1 Antenna size for different multicusp plasma source.

Source Diameter (cm)	5	7.5	10
Antenna Loop Diameter (cm)	3	4.5	6.8

The size of the chamber of the multicusp plasma source also affects the extracted current density. Figure 2.11 shows a picture of three different size sources with their antenna dimension listed in Table 2.1: 5-cm-diameter source with a antenna loop diameter of 3 cm, 7.5-cm-diameter source with a antenna loop diameter of 4.5 cm, and 10-cm-diameter source with a antenna loop diameter of about 7 cm. As is know, an RF discharge is obtainable using an induction-coil antenna located inside the source. An azimuthal electric field is generated by the time-varying magnetic field inside the antenna loop. Electrons present in the gas volume are accelerated by the induced electric field, and quickly acquire enough kinetic energy to generate a high-density plasma by ionizing the background gas particles. This mode of operation is referred to as the inductively coupled mode, and it is desirable for achieving a high plasma density in the region from which the ion beams are extracted. If the antenna is too close to the wall, which happens to the 5-cm-diameter source, strong oscillating electric fields can easily be generated between the antenna and the source chamber, resulting in a capacitively coupled discharge in the source wall region. For this reason, it is difficult to operate a 5-cm-diam source with a 3-cm-diam antenna in the inductively coupled mode, as compared to a 7.5cm-diam source with a 4.5-cm-diam antenna. For a big 10-cm-diameter source, the power density in the source is lower than a 7.5-cm-diameter source at the same input RF power, which results in lower current density. Fig. 2.11 compares the extracted current density of

these three different size sources. Apparently, the 7.5-cm-diameter source produces the highest current density at a given RF power.

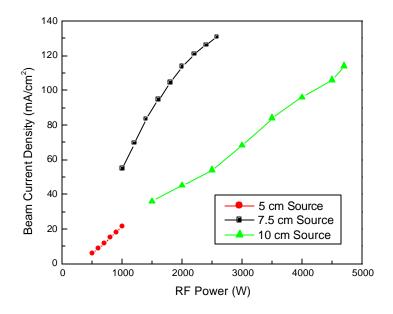
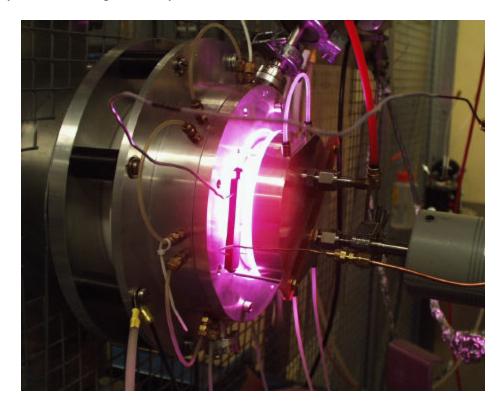


Figure 2.12 Comparison of oxygen ion current density for different size multicusp sources.

2.4 Sources with external antenna

For the RF source discussed above, plasma is generated using an internal antenna. Studies have shown that external antenna can also produce induction RF discharge. Figure 2.13 shows the schematic diagram of external antenna RF-driven source and a picture of the ignition of a hydrogen plasma using it. Instead of exposing to the plasma, the external antenna is wound around outside the quartz chamber. No ion bombardment occurs, which results in longer lifetime of the antenna. Even if the antenna fails, it won't affect the vacuum system. In addition, it is quite easy to exchange. External antenna source is easy to scale to size of as small as 1 cm diameter²², and to size of as large as

over 10 cm diameter. Therefore, it can be easily adopted as a source for either a single beam system or a multiple beam system.



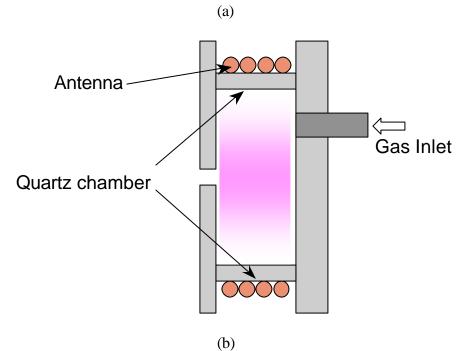


Figure 2.13 (a) The ignition of hydrogen plasma and (b) the schematic diagram of the external antenna inductive plasma source.

Figure 2.14 shows the extracted beam current density from an external-antenna and internal-antenna generated hydrogen plasma, operating at the same extraction voltage. When operating at the same RF input power, the beam current density extracted from the external-antenna source is higher than that of the internal antenna source.

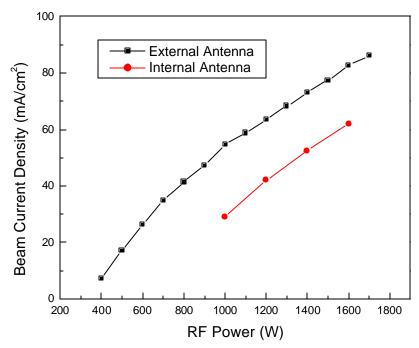


Figure 2.14 Comparison of hydrogen ion current density extracted from an external antenna and internal antenna source.

Simply by changing to negative extraction voltage, electrons can be extracted from the source using the same column. Figure 2.15 shows the electron current density produced by the external antenna source. At an input power of 2500 W, electron current density of 2.5 A/cm² is achieved at 2500 V, which is about 25 times larger than ion production. It indicates that such a source can also be used in electron projection lithography.

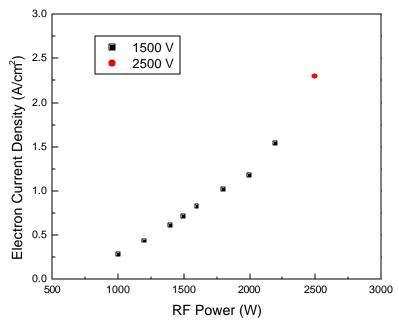


Figure 2.15 Electron current density extracted from an external antenna source.

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Chapter 3

Beam Brightness of Multicusp-Plasma Ion Source

3.1 Brightness

The focal properties of a FIB system depend strongly on the source parameters.

Usually two kind of brightness are used to characterize source or beam property.

3.1.1 Source brightness

The source brightness β , defined as angular current density divided by the effective — or virtual source size — d_v , is taken as a measure of source quality. The virtual source size d_v , magnified or demagnified by the imaging system, limits the smallest focused spot size, while chromatic and geometric aberrations, caused by physical beam properties (e.g. energy spread and angular divergence), further broaden the beam. However, in order to take chromatic aberrations also into account, one compares the spectral brightness β_s which is defined as source brightness divided by source energy spread,

$$\boldsymbol{b}_{s} = \frac{I_{\Omega}}{d_{v}\Delta E} \tag{1}$$

where I_{Ω} is the angular current density of the source, ΔE is the axial energy spread of the ions¹.

3.1.2 Beam brightness

Similar to source brightness, the beam brightness is defined as

$$B = \frac{I_{\Omega}}{\mathbf{p}r^2} = \frac{I}{\mathbf{p}r^2 \cdot \Omega} = \frac{J}{\Omega}$$
 (2)

where I_{Ω} is the angular current density, r is the radius of the beam spot at that plane, I is the total beam current, Ω is the solid angle of the beam, and J is the current density at that plane. The solid angle into which a source emits ions is changed if a voltage Vs exists between the source and the point of measurement. This is almost always the case, and the specific brightness (normalized brightness β =B/Vs is a more appropriate parameter. Nevertheless, the brightness B is most often quoted.²

3.1.3 Comparison of brightness of different source

Table 3.1 Characteristics of different source types, in terms of virtual source-size D_v , energy spread ΔE, angular current density I_{Ω} , brightness β , and spectral brightness β_s .

	D _v (nm)	ΔE (eV)	I _Ω (mA/Sr)	$\beta = I_{\Omega}/(\pi d_{v}^{2}/4)$ (A/cm ² Sr)	$\beta_s = \beta/\Delta E$ (A/cm ² SreV)
Conventional Plasma Source	5×10 ⁴	5	3×10 ³	10^2	10
LMIS	50	5	20	10^{6}	10 ⁵
GFIS	~1	1	0.1	10^{7}	10 ⁷
Supertip GFIS	~1	1	35	5×10 ⁹	5×10 ⁹

Table 3.1 shows important parameters of conventional plasma source, LMIS, GFIS, and supertip GFIS. Conventional plasma source, although providing the highest current angular density I_{Ω} , produce still the least brightness due to its largest virtual source size (~50 μ m).

3.1.4 Requirement of brightness

The brightness of a multicusp-plasma ion source is a key issue for its application to maskless ion beam lithography. If an array of 1000×1000 independently controlled beamlets is used to expose a wafer, the brightness for each beamlet at the entrance of the objective lens needs to be ~1000 A/cm²Sr, in order to achieve exposure throughput of sixty 300-mm-diameter wafers per hour.³ The brightness of the source is proportional to the current density of the source. For a 80W filament dc-discharge multicusp plasma source, one can achieve an output current density of 3 mA/cm² at a discharge power of 80 W. Scipioni *et al.* reported the image-side beam brightness (at 30 keV) of 1650 A/cm²Sr for this kind of source.⁴ For a RF-driven source, Scott *et al.* recently reported the measured beam brightness of a 5-cm-diameter multicusp-plasma ion source to be less than 15 A/cm²Sr at 3 keV beam energy, using 5-μm-diameter extraction apertures,³ which falls far short of the brightness requirement. In this chapter, methods of improving source brightness and measurement of both spectral brightness and beam brightness will be presented.

3.2 Spectral brightness measurement

3.2.1 Experimental setup

In order to measure the brightness of the source, ions are extracted from the source through a two-electrode column. The first electrode with an aperture of 1mm in diameter is at the same potential as the wall of the ion source. The second electrode with an aperture of 0.1 mm is biased at -600 V relative to the first electrode and serves as a collimator. Downstream, the beam can be further focused down.

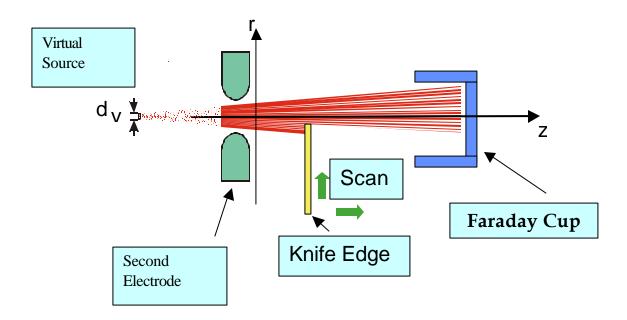


Figure 3.1 Schematic layout of the experiment for virtual source size measurement.

Argon gas was first used to test the source, because it has almost the same mass $(m_{Ar}^+=40)$ as O_2^+ $(m_{O2}^+=32)$. In this experiment, the filter was not mounted in the multicusp plasma source; therefore the energy spread was expected to be about 6 eV. In order to evaluate the Ar^+ beam brightness, a silicon knife edge controlled by a Burleigh inchworm X-Y-Z stage and a Faraday cup were inserted after the first two extraction

electrodes for current distribution and virtual source size measurements. The experimental arrangement is shown schematically in Figure 3.1. The silicon knife edge can move in x, y, and z direction in steps of 50 nm. The beam current distribution was measured by scanning the silicon knife edge across the beam and recording the beam current collected by the Faraday cup as a function of r.

3.2.2 Results and discussion

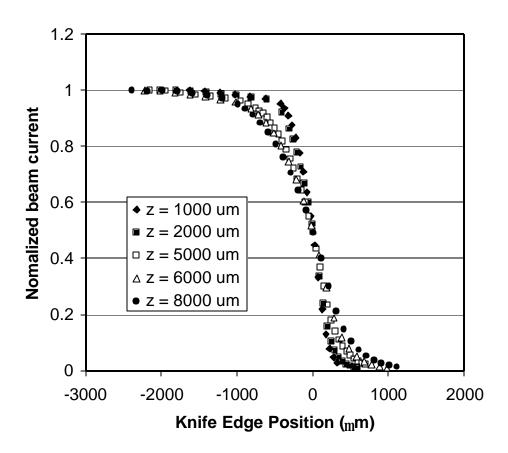
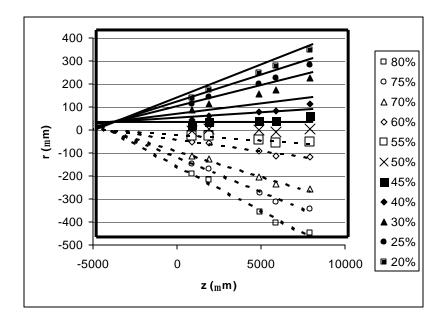


Figure 3.2 Collected beam current vs. knife edge position (r), for various z locations. Beam energy is 600 eV.

Figure 3.2 is a plot of Ar⁺ beam current distributions at different value of z, for an extraction energy of 600 eV. The vertical axis is the normalized collected beam current. The horizontal axis is the radial position r of the knife edge relative to the second electrode. When the knife edge is not blocking the beam, the passing beam collected by the Faraday cup is 100% of the total extracted beam which is about 0.1 μA. When the knife edge totally blocks the beam, none of the Ar⁺ ions can reach the Faraday cup and thus the collected beam current collected is zero. All the beam current distribution curves intersect at the same point where the passing beam current is 50%. The rise of the beam distribution from 20% to 80% is used to define the beam size. From Fig. 3.2, the beam size increases as the position of the silicon knife edge moves away from extraction electrodes, indicating that the beam is divergent when extracted.



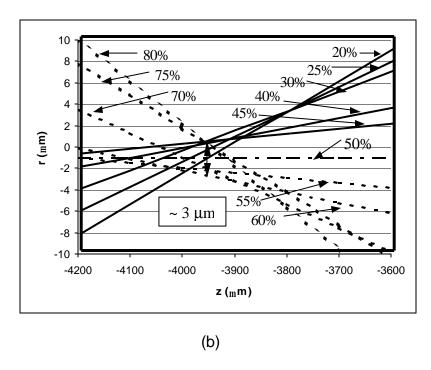


Figure 3.3 (a) Extracted Ar⁺ beam envelope from 20% to 80% of the total beam.
Straight lines were used to fit beam trajectories in the field-free region.
(b) Zoom-in picture of the virtual source region. The virtual source size of the new FIB system is about 3 μm in diameter.

When the beam enters a field-free region, the trajectory of the beam should be a straight line. The virtual source is the minimum width of the beam envelope when these beam trajectories are projected backwards. Fig. 3.3(a) shows the beam envelope of the extracted beam. The data points on the same beam trajectory in Fig. 3.3 were derived from Fig. 3.2 with the same normalized beam current at different knife edge positions. Straight lines (least mean square method) were used to fit the beam trajectories. By

extrapolating these lines backwards to their intersection, the virtual source size was found from the smallest width to be about 3 μm . Fig.3.3(b) shows the zoom-in plot of the virtual source region.

Based on the virtual source size measurement, the angular current density of the extracted Ar^+ beam is 84.6 $\mu A/Sr$ and the virtual source is about 3 μm in diameter. Considering that the extractor also has a lens effect, the measured virtual source size using the method described above can be expressed as the following if only the chromatic aberration is considered:

$$d_{v_{_measured}}^{2} = d_{v}^{2} + d_{chr}^{2}$$
 (3)

where

$$d_{chr} = C_c \frac{\Delta E}{E} \boldsymbol{a} \tag{4}$$

 C_c is the chromatic aberration coefficient, ΔE is the energy spread and α is the beam-limiting aperture half angle⁵. Since the energy spread of the multicusp source including the magnetic filter can be reduced to about 1eV,⁶ the actual virtual source size is expected to be smaller than 1 μm . The spectral brightness defined in equation (1) that this new FIB system can achieve achieved at extraction energy of 600eV is better than 10^4 A/cm²·Sr·eV.

3.3 Beam brightness measurement

As shown in Eqn. (2), for a fixed solid angle, higher current density at a given plane results in higher brightness. Two approaches have been taken to improve the brightness of a multicusp-plasma ion source: increasing the total beam current extracted from the

source, and reducing the focused beam spot size. First, a larger source (7.5 cm in diameter) is employed to achieve higher source current density. Second, a counter-bored extraction aperture is used to achieve smaller focused beam spot size with much reduced aberration. With increased RF power, the brightness of the multicusp-plasma ion source can be sufficiently high to make it suitable for application to high-throughput maskless lithography.

3.3.1 Increasing total beam current

Figure 3.4(a) shows the cross-sectional view of a RF-driven multicusp-plasma ion source. An RF discharge is generated using an induction-coil antenna located inside the source. As shown in Figure 3.4(b), an azimuthal electric field is generated by the time-varying magnetic field inside the antenna loop. Electrons present in the gas volume are accelerated by the induced azimuthal electric field, and quickly acquire enough kinetic energy to generate a high-density plasma by ionizing the background gas particles. This mode of operation is referred to as the inductively coupled mode, and it is desirable for achieving a high plasma density in the region from which the ion beams are extracted. If the antenna is too close to the wall, strong oscillating electric fields can easily be generated between the antenna and the source chamber, resulting in a capacitively coupled discharge in the source wall region. For this reason, it is difficult to operate a 5 cm-diam source with a 3-cm-diam antenna in the inductively coupled mode, as compared to a 7.5-cm-diam source with a 4.5-cm-diam antenna.

Figure 3.5 compares the extracted He⁺ beam current densities for the 7.5-cm-diam and 5-cm-diam sources. The current density of the extracted beam increases with RF power

for both sources. At 1 kW of RF input power, corresponding to the source operation condition in Ref. 3, the 7.5-cm-source provides ~3 times higher current density than the 5-cm-source. This difference may be attributed to the different modes of source operation as discussed in the last paragraph. The current density for the 7.5-cm-diam source is over 100 mA/cm² at 2.5 kW of RF power, which is ~10 times larger than that of the 5-cm-diam source operating at 1 kW of RF power.

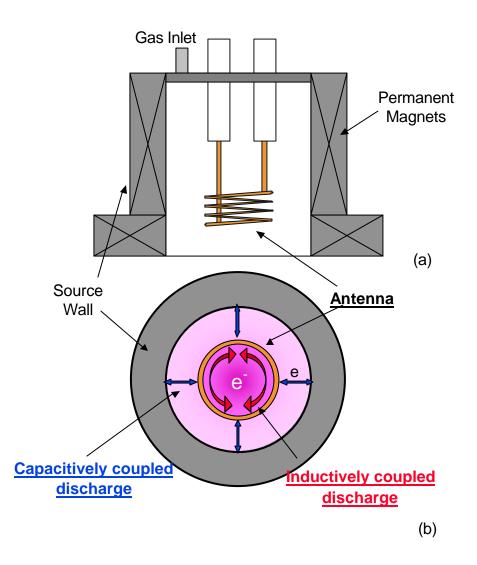


Figure 3.4 (a) Cross-sectional view of a RF-driven multicusp-plasma ion source. (b) Top view of the source. Two modes of plasma generation: capacitively coupled and inductively coupled discharge.

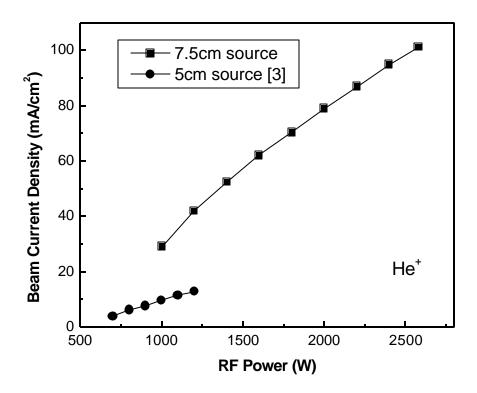


Figure 3.5 Comparison of extracted beam current densities for different size multicusp-plasma ion sources.

3.3.2 Reducing focused beam spot size

The effect of the two different extractor geometries on beam aberrations has been investigated: "through-hole" and counter-bored hole. As shown in Figure 3.7, the through-hole consists of a uniform-diameter (50 μ m) aperture, 250 μ m deep; the diameter of the counter-bored hole changes from 50 μ m (for the first 250 μ m of depth) to 300 μ m (for the second 250 μ m of depth) facing downstream.

Simulations were performed using the Munro code⁷ and the results are summarized in Table 3.2. The aberration for the through-hole aperture is much larger than the focused Gaussian beam spot size. For an image 1 mm away from the extraction aperture, both geometries provide \sim 4 times reduction in image size. The through-hole generates almost one order of magnitude higher aberrations (spherical, coma, field curvature, astigmatism, and distortion) than the counter-bored hole. Thus, the counter-bored-hole geometry can substantially reduce the beam aberrations and hence the focused beam spot size. Ray tracing simulations were also performed using the SORAY program in Munro code⁷, and the results are shown in Fig. 3.6. For the through-hole geometry, the overall beam size at the image plane is around 36 μ m, however, for the counter-bored-hole geometry, it is around 12 μ m. They match with the results in Table 3.2 very well.

Table 3.2 Comparison of simulation results for a 50 μm through-hole and counterbored extraction aperture. The image is 1 mm away from the extraction aperture.

	Overall	Gaussian	Aberration (μm)					
Extraction geometry	image size (µm)	image size (µm)	Spherical	Coma	Field curvature	Astigmatism	Distortion	Chromatic
Through hole	49	16.4	14.3	31.4	27.6	16.8	3.39	2.19
Counter- bored hole	13.9	13.1	1.58	2.99	2.31	1.13	0.24	1.49

A simple exposure experiment was performed to verify the simulation results (Figure 3.7). A 2 keV Ar⁺ beam generated by a multicusp ion source operating at 500 W RF power was used to sputter a thin metal foil coated with dry erase ink for about 90 seconds. The target was located at 1 mm away from the extraction aperture. With a

through-hole extraction aperture, a spot size of $56~\mu m$ was obtained. In contrast, a spot size of $12.5~\mu m$ was achieved with a counter-bored aperture. These results agree with the simulation results very well. With a counter-bored extractor, the focused beam spot size is about four times smaller, yielding another factor of ten increase in focused beam current density.

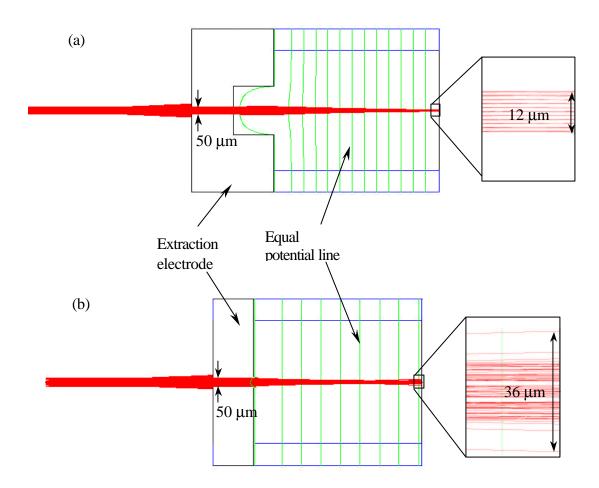


Figure 3.6 Ray tracing results using SORAY program in Munro code. (a) counterbored-hole geometry and (b) through-hole geometry.

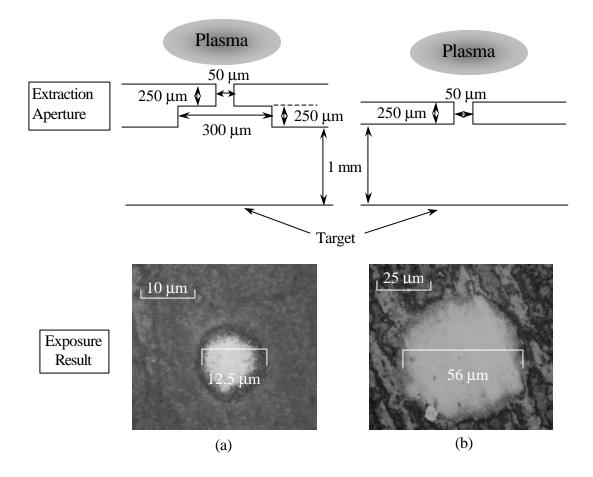


Figure 3.7 Comparison of exposure results using (a) counter-bored hole and (b) through hole as extraction aperture. 2 keV Ar⁺ beams generated by a multicusp source at 500 W RF power were used to sputter a thin metal foil for about 90sec. The target was located 1 mm away from the extraction aperture.

3.3.3 Experimental setup

The setup for the brightness measurement is shown in Figure 3.8. A helium plasma, generated by a 7.5-cm-diameter source, was used to illuminate a 50-µm-diameter counter-bored extraction aperture, which was at the same potential as the source chamber. The brightness analyzer was positioned 1 mm away from the extraction apertures to

measure the beam profile. By scanning the voltages applied to deflector plates, the beam was swept over a $100~\mu m$ slit located beyond the electrodes. Any current passing the slit was measured by a Faraday cup.

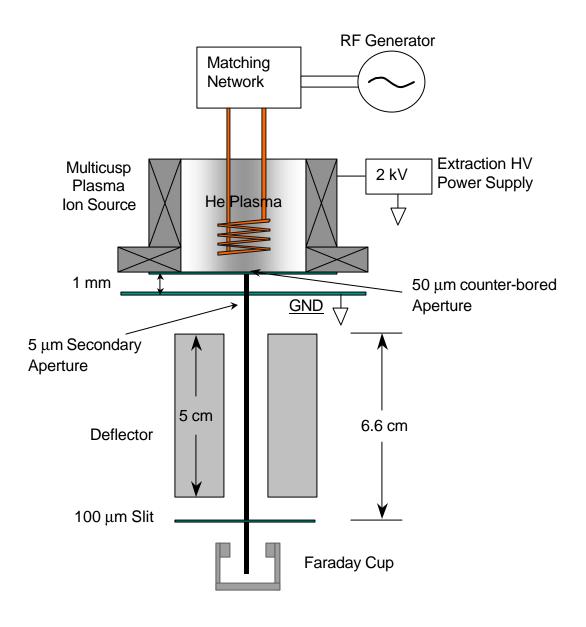


Figure 3.8 Experimental set-up for brightness measurement.

The experimental setup was the same as for the prior work in Ref. 3, except for the following:

- A 7.5-cm-diameter source was used instead of a 5-cm-diameter source. As mentioned above, the larger source is more easily operated in the inductively coupled mode, which provides a higher plasma density.
- A 50 μm counter-bored aperture was used to extract the ion beam instead of a 5 μm through-hole aperture. The focused beam spot size is smaller, due to reduced aberrations.
- 3. The beam energy was 2 keV instead of 3 keV. No bias voltage was applied between the source and the extractor.
- 4. To prevent the secondary 5 μm aperture from being damaged by the beam, the RF and extraction high-voltage power supplies were pulsed at a pulse width of 0.12 second and a duty factor of 2%, except for the data measured at 2500 W RF power. At 2500W RF power, the plasma is operated under CW mode, only the extraction high voltage power supply is pulsed.

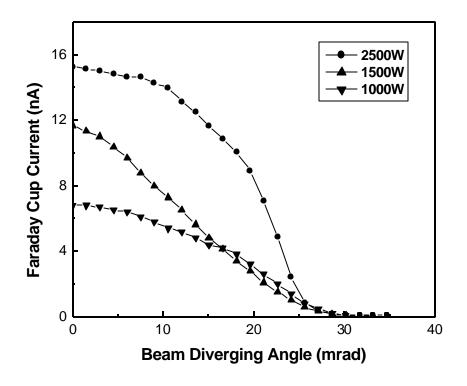


Figure 3.9 Beam profile at the 100 μm slit, for 1.0, 1.5, and 2.5 kW RF power.

3.3.4 Results and Discussion

The beam profiles at the 100 µm slit for RF power of 1.0, 1.5, and 2.5 kW are shown in Figure 3.9. The beam semi-angles are all ~27 mrad for the different power levels. The total beam current passing through the secondary 5 µm aperture can be calculated by integrating the beam profile. Thus, the current density at the secondary aperture can be easily obtained. The beam brightness was determined using Eqn. (2), and the results are shown in Figure 3.10. It can be seen that the beam brightness increases with RF power, reaching 440 A/cm²Sr at 2.5 kW. Compared to the previous results³, a factor of 30 improvement in brightness is achieved.

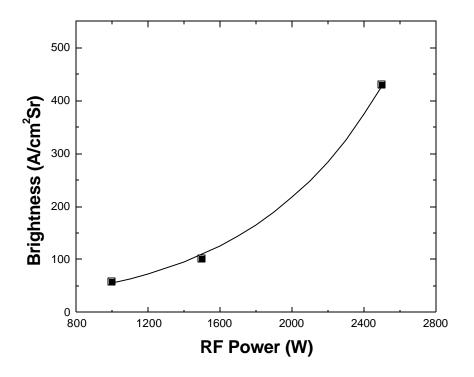


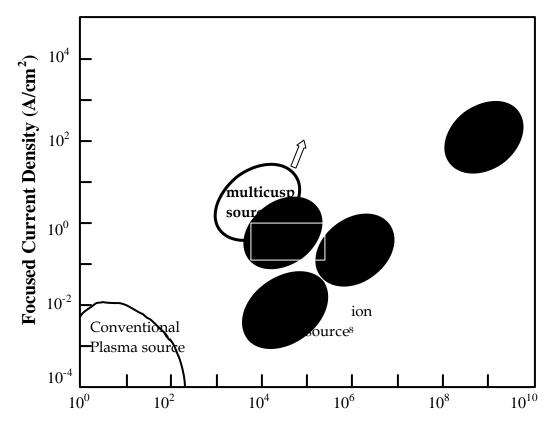
Figure 3.10 Brightness of 2 keV He⁺ beams as a function of RF power.

3.4 Summary

In summary, it has been experimentally demonstrated that a beam of Ar^+ ions can be extracted from a multicusp plasma source using an all-electrostatic accelerator column. The extracted beam current after collimator is about 0.1 μ A, and the spectral brightness of this ion source is better than 10^4 A/cm²·Sr·eV at beam energy of 600 eV, which is about three orders of magnitude higher than that of the conventional plasma sources. The brightness of the beam extracted from a multicusp ion source can be high if it is operated in the inductively coupled mode and if the beam extraction system is optimized to reduce aberrations. For a 7.5-cm-diameter source with a 50- μ m counter-bored extraction

aperture, the measured brightness (440 A/cm²Sr) is significantly higher than in previously reported work. Additional increases in RF power as well as improved source design are being investigated to further improve the brightness in order to meet the requirement of 1000 A/cm²Sr for high-throughput maskless lithography.

As shown in Section 3.3.1, the current density extracted from the source is as high as 100 mA/cm². If the demagnification factor of the downstream lens is around 10X, the focused current density can reach 10A/cm². A comparison of the spectral brightness for different types of sources is shown in Figure 3.11. The horizontal axis is the spectral brightness of the source, while the vertical axis is the focused current density at the target. The position of the FIB system described in this thesis work is marked by a bold circle on the map, with the spectral brightness determined by the virtual source size measurement and the possible focused current density which could be achieved at the target. The spectral brightness of the FIB system is about three orders of magnitude higher than that of conventional plasma source. The new FIB system with the multicusp plasma source can achieve almost the same spectral brightness as the LMIS can. By further optimizing the extractor, the extracted current angular density will increase, which results in a higher spectral brightness. By further optimizing the ion optics to get a larger demagnification factor, the focused spot size will decrease, which result in a larger focused current density.



Spectral brightness of ion source (A/cm2×Sr×eV)

Figure 3.11 Comparison of spectral brightness with different ion sources.

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⁷ Provided by MEBS Ltd., 14 Cornwall Gardens, London SW7 4AN, England.

⁸ S. K. Guharay, E. Sokolovsky, and J. Orloff, "Characteristics of focused beam spots using negative ion beams from a compact surface plasma source and merits for new applications", *J. Vac. Sci. Technol.* **B 16**, 3370(1998).

Chapter 4

Ion Optics for Maskless, Resistless Ion Beam Lithography System

4.1 Electrostatic lenses

A conventional optical system consists of several rotationally symmetric (round) lenses which enable light to be focused and imaged. Ion optical imaging systems also consist of several rotationally symmetric ion lenses that enable the ions to be focused and imaged. Similar to a light optical imaging system, the action of the ion optical system is to transfer the ion image from the object plane to the image plane. In the paraxial approximation the ion optical system can form an ideal and clear image, but when aberration is present the image is distorted and unclear.¹

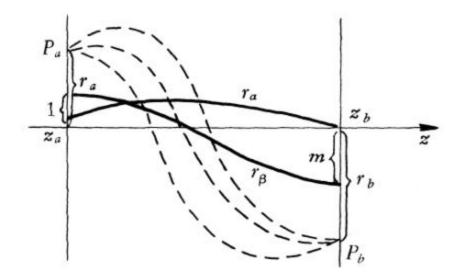


Figure 4.1 The ideal imaging Gaussian trajectory.²

As shown in Fig. 4.1, the object is at plane $z=z_a$, and its Gaussian image plane is located at $z=z_b$. Trajectories passing through the object point intersect at an image point are independent of there initial slope(x_a ', y_a '). Thus all Gaussian trajectories emitted from an object point $P_a(x_a,y_a)$ in the object plane, regardless of their slope, are focused at a point image $P_b(x_b, y_b)$ in the image plane $z=z_b$.

4.2 Aberration theory

Rotationally symmetric electric fields may be generated by systems of metallic electrodes that are rotationally symmetrical in geometry and configuration and between which appropriate voltages are applied. In these electric fields, the equal-potential surfaces are rotationally symmetrical curved surfaces, which can be made to focus ion beams.

4.2.1 Spherical aberration

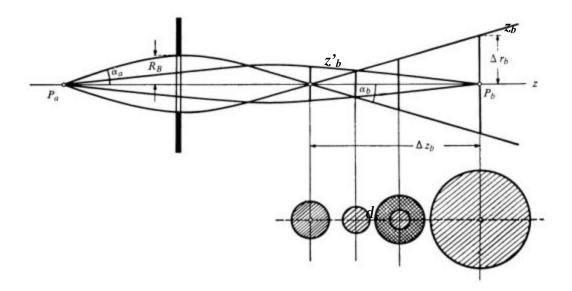


Figure 4.2 Spherical aberration.²

The spherical aberration stems from a basic property of rotationally symmetrical fields. As shown in Figure 4.2, the focusing action of the fields in the region farther from the axis is stronger than that in the region nearer to the axis. The image position z'_b for the marginal off-axis trajectories will be in front of the Gaussian focus and further from the Gaussian image plane than the position z_b for paraxial trajectories. The minimum radius of the beam δ_s , which is used to characterize spherical aberration, proportional to the third power of the angular aperture α_a in the object plane.

In electron or ion optical imaging devices, the ultimate resolution is limited mainly by the spherical aberration which is the only nonzero geometrical aberration at the axis and therefore the most harmful. It can be decreased by reduction of α_a .

4.2.2 Coma aberration

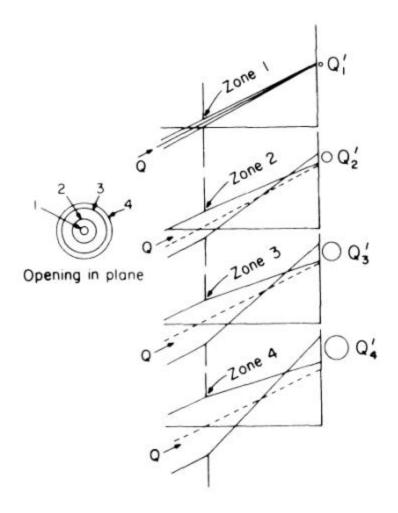


Figure 4.3 Coma aberration.²

As shown in Fig 4.3, the coma originates from the following fact. In a conical electron beam, a series of rays with varying angular aperture will pass those different zones of the lens which correspond to different focal lengths and will form a series of circles with different radius. Coma is proportional both to the first power of the height x_a in the object pane and the second power of the contour radius r_b in the aperture plane.

4.2.3 Field curvature and astigmatism

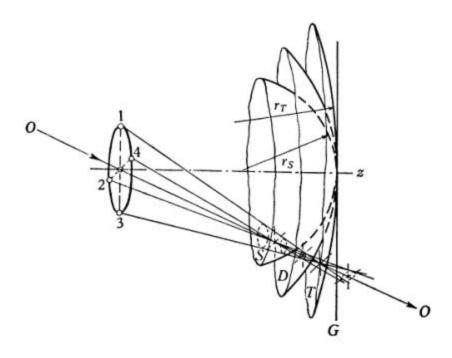


Figure 4.4 Field curvature and astigmatism.²

First, the ions from different points with varying heights x_a in the object plane will travel through different parts of the field. Since the focusing action of the field in the region further from the axis will be stronger than that in the region nearer to the axis, the object point with larger height x_a will be convergent to an image point farther from the Gaussian image plane than that object point with smaller height surface, resulting in a concave image towards the object (Fig. 4.4). Secondly, in the off-axis region, a conical beam will experience different focusing action: along the radial direction passing through the object, and along the perpendicular direction. Therefore, the ion beam under two different convergent conditions will form two separate image surfaces, which are known as astigmatism. Field curvature and astigmatism are proportional to both the second power of the height x_a in the object plane and the first power of the contour radius x_b in the aperture plane.

4.2.4 Distortion

Distortion is proportional to the third order of the height x_a in the object plane, but is independent of the contour radius r_B in the aperture plane.

4.2.5 Chromatic aberration

Chromatic aberration in light optics arises from the fact that light of different color (wavelength) is refracted with different strength. In ion optics, the ions passing through a lens with different energy will be refracted differently. First-order chromatic aberration is proportional to the fractional energy spread, $\Delta V/V$, at the image plane, and to the first power of α or r.

In rotationally symmetric electron lenses, chromatic aberration cannot be completely eliminated, but it can be reduced by increasing the acceleration voltage, by reducing the fluctuations in the accelerating voltage and by limiting the aperture.

In summary, geometrical aberrations have a functional dependence of the form $\alpha^i r^j$, where α = beam half angle at the image plane, r = off-axis distance at the image plane, First-order chromatic aberration are proportional to the fractional energy spread, $\Delta V/V$, at the image plane, and to the first power of α or r. Table 4.1 shows the general form of the primary aberrations for a shaped beam electron focusing and deflection system. In this table, D is the deflection distance and B the shaped beam size at the image plane. The five aberrations which can be eliminated by dynamic correction are shown in bold type in Table 4.1.³

Table 4.1 General form of the Primary aberrations of a shaped beam focusing and deflection system. (The terms which can be reduced or eliminated by dynamic corrections are shown in bold type.)

Aberrations	Axial	Deflection	Shaped Beam	Hybrid
Spherical	$C_s\alpha^3$	-	-	-
Aberrations				
Coma	-	$M_{co}\alpha^2D$	$S_{co}\alpha^2 B$	-
Field Curvature	-	$M_{fc}aD^2$	$S_{fc}\alpha B^2$	$H_{fc} \alpha DB$
Astigmatism	-	$M_{as}aD^2$	$S_{as}\alpha B^2$	$H_{as}\alpha DB$
Distortions	-	$M_{di}D^3$	$S_{di}B^3$	$(H_{di1} + H_{di2})D^2B +$
				$(H_{di3}+H_{di4})DB^2$
Chromatic Aberrations	$C_c \alpha \Delta V/V$	$M_{tc} \; D \; \Delta V / V$	$M_{tc} \; B \; \Delta V/V$	-

4.3 Simulation software

Two software packages have been used to design focusing lenses for the maskless, resistless ion beam lithography system. One is IGUN, and the other is Munro's code.

4.3.1 **IGUN**

IGUN is a program for the simulation of positive ion extraction from plasma and performs ray tracing on the extracted ion beams with space charge consideration.^{4, 5} It is based on the well known program EGUN for the calculation of electron and ion trajectories in electron guns and lenses.⁶ In general, the simulation of ion-beam formation comprises four well distinguishable parts: the numerical stable simulation of the nonlinear plasma sheath; the solution of Poisson's equation for the potential map using finite difference method; the integration of ion trajectories under the influence of fields derived from the map; and the allocation of space charge to the nodes of the map.

IGUN takes into account of global space charge effect. For the last couple of iteration cycles, the space charges are averaged and recalculate the potential distribution. Ion temperature effect is added to the simulation by manually adding the beam transverse angle to the beam trajectories⁷, as shown in Figure 4.5. Assuming the incident ion energy is E_0 , and the ion temperature is T_i , the new transverse angle α can be calculated using the following formula:

$$\mathbf{a}\big|_{T_i \neq 0} = \mathbf{a}\big|_{T_i = 0} + \tan^{-1}\left(\sqrt{\frac{T_i}{E_0}}\right)$$

$$E_0$$

$$T_i = 0$$

$$T_i \neq 0$$

$$(1)$$

Figure 4.5 Adding ion temperature effect to IGUN simulation.

4.3.2 Munro Code

OPTICS and SOFEM (second order finite element method) are two of the computer software packages provided by MEBS Ltd. (England). They can be used to verify and optimize the optics performance of the focusing lens designed in IGUN.

OPTICS includes programs that can calculate axial potential distribution and field distributions in magnetic and electrostatic lenses and deflectors in electron beam focusing and deflection systems. It also computes the optical properties and aberrations up to the third-order of any combination of electron lenses and multipole deflectors.⁸ The first-

order optical properties such as electrostatic lens voltages, magnification, deflection sensitivities and directions for main, sub and tertiary field deflections, are computed by numerical solution of the paraxial ray function. The third-order geometrical aberrations and first-order chromatic aberrations are computed by evaluating appropriate aberration integrals involving the paraxial rays.

SOFEM computes the potential distributions of the electrostatic lenses using the Second-Order Finite Element Method. The field computation programs in SOFEM enable the lens and deflection field functions to be computed to a much greater accuracy than those supplied with OPTICS package. SOFEM package also includes another direct ray-tracing program SORAY which can be used to compute electron trajectories through the lenses using a fourth-order Runge-Kutta method.⁸

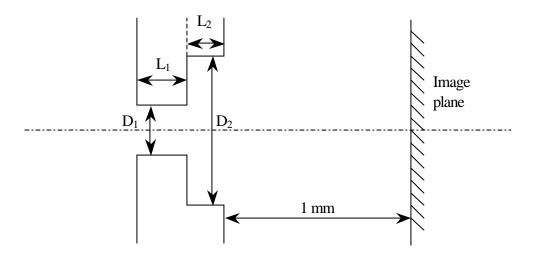


Figure 4.6 Geometry parameters for optimizing counterbored extraction apertures.

4.4 Single lens system

In the beam brightness measurement discussed in Chapter 3, single lens system is used. The geometry of the first extraction aperture needs to be optimized in order to minimizing aberrations. Figure 4.6 shows the geometry parameter of a counterbored aperture. The aspect ration of the beam inlet is fixed at 5, i.e., $L_1/D_1=5$. The image plane is set at 1mm away from the aperture. Simulations with different L_2 , and D_2 are performed for $D_1=50\mu m$, $L_1=250\mu m$.

Figure 4.7 shows the relationship between aberration and the diameter of the counterbored aperture and the thickness of the step. For an extraction aperture of 50 μ m, the spherical aperture, coma, field curvature, astigmatism, distortion, and chromatic aberration decrease when the diameter of the opening aperture and reaches its minimum value when opening aperture is 300 μ m. The aberration didn't change significantly when the thickness of the second aperture varies. Overall, for an extraction aperture of 50 μ m, the optimum value of the counter-bored geometry is $D_2 = 300 \mu$ m, and $L_2 = 300 \mu$ m, and the demagnification is 5×, which indicates the Gaussian image size is around 10 μ m. The overall blur is 4 μ m.

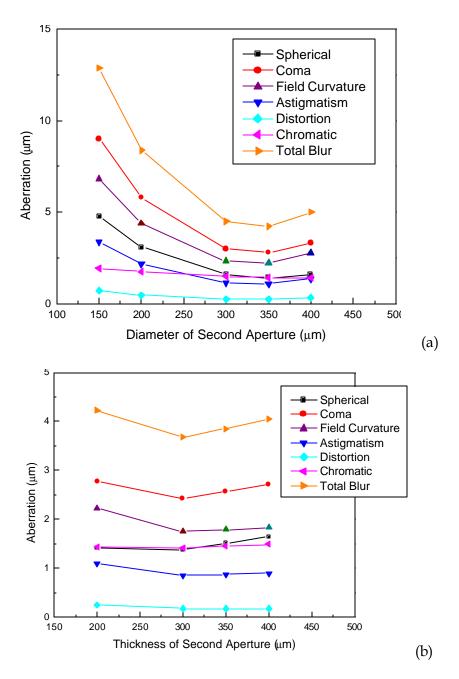


Figure 4.7 Aberration dependence on diameter of counterbored aperture D_2 and thickness of the second step L_2 , for D_1 =50 μ m, L_1 =250 μ m.

4.5 Two-lens system

The two-lens column shown in Figure 4.8 is very compact with a total length of about 1.1 cm. The first lens of the column serves to extract the ion beam from the plasma source. The first electrode, with an aperture of 50 μ m in diameter, has the same potential

as the wall of the ion source. The second electrode is biased at -1200 V relative to the first electrode. The second is an Einzel lens with three electrodes, which is for focusing the extracted beam in a decelerate-accelerate mode. The middle electrode of the Einzel lens can be split into to quadrapole electrostatic deflector to achieve beam steering in both x and y directions.

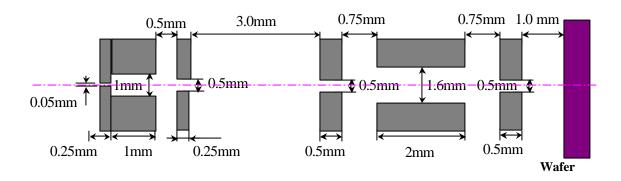
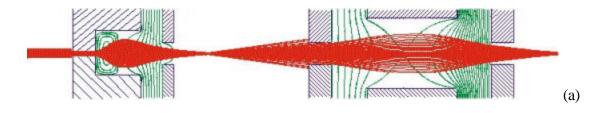


Figure 4.8 Design of a two-lens column for MRIBL system. (b) Ray tracing simulations using Monro's code.

4.5.1 IGUN simulation results

Figure 4.9 (a) shows the ion beam trajectories using IGUN simulation without taking ion temperature into account. The current extracted from the ion source is 63 nA. According to the beam distribution at the focal plane shown in Fig. 49 (b), the beam spot size is around 30 μ m.



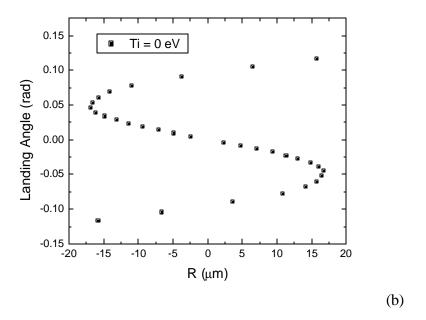
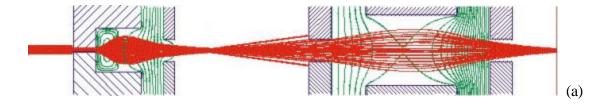


Figure 4.9 Ray tracing simulation results using IGUN when $T_i = 0$. (a) Ion beam trajectories. (b) Ion distribution at the focal plane.

As stated in Section 4.3.1, ion temperature effect can be added into IGUN simulation. Assuming the ion temperature is 0.1 eV, the transverse half angle α is approximately 44 mrad for the beam at energy of 50 eV. The simulation result with ion temperature effect is shown in Fig. 4.10. Large transverse angle leads to more aberration. Himinating those large angle beamlets shown in Fig. 4.10(b), the beam size is around 40 μ m.



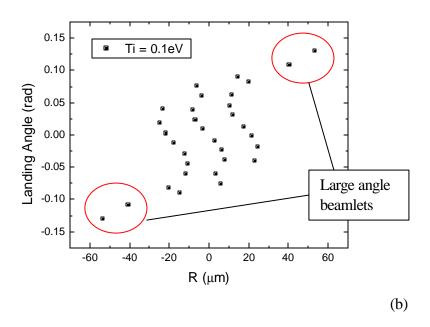


Figure 4.10 Ray tracing simulation results using IGUN when $T_i = 0.1$ eV. (a) Ion beam trajectories. (b) Ion distribution at the focal plane.

4.5.2 Munro's code simulation results

Using Munro's code, based on aberration theory, the demagnification of this two-lens system is $10\times$ with object locating at 1mm away in front of the first extraction aperture, and the image system locates 1mm away from the last electrode. The object size used in the simulation is the diameter of the first electrode, which indicates that the Gaussian image size is 5μ m. However, the overall blur calculated using the ABER code is 16 um which is far greater than the image size itself. The only way to reduce aberration is to reduce the beam half angle. Table 4.2 lists all aberration values with three different beam angle at the image plane: 75 mrad, 30 mrad, and 10 mrad. When the beam angle reduces to 10 mrad, the overall blur decreases from 16 μ m to 1.4 μ m.

Table 4.2 Munro's simulation results: aberration and beam blur for different beam angle at the image plane.

angle(mrad)	75.00	30.00	10.00
Spherical (μm)	6.86	0.44	0.02
Coma (μm)	12.04	1.94	0.22
Astigmatism (μm)	8.48	3.40	1.13
Field curvature(μm)	4.23	1.70	0.57
Distortion (μm)	0.84	0.84	0.84
Chromatic (µm)	1.13	0.56	0.39
Beam Blur (μm)	16.82	4.33	1.34

Ray tracing simulation is performed using Munro's SORAY package. Unlike IGUN, SORAY assumes that parallel beams enter the column. Fig. 4.11 shows the current distribution at the image plane, given by Munro's ray tracing code. From 20% to 80%, the beam spot size is 15 μ m, which is less than IGUN predicts. One point is that IGUN simulates plasma extraction, rather than the assumption of parallel beam incident. The other point is that IGUN's results included 100 % of the beam.

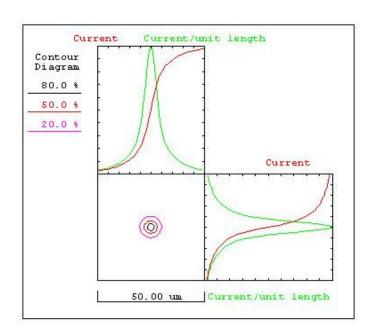


Figure 4.11 Ion distribution at the focal plane given by Munro's code.

4.6 Beam spot size measurement

4.6.1 Column fabrication

All the electrodes are made of molybdenum to reduce sputtering. The apertures are mechanically drilled, and are aligned using a Nickon comparator. Picture of column after assembled is shown in Fig 4.12.

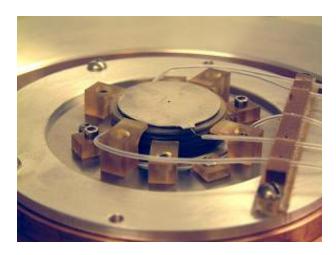


Figure 4.12 Picture of the two-lens column for MRIBL system.

4.6.2 Experiment setup and results

The beam spot size can be measured as shown in Fig. 4.13. It is very similar to the virtual source size measurement described in Chapter 3, except that the knife edge is located at the focal plane of the beam. According to the current distribution shown in Fig. 4.14, about 73 nA of oxygen ions are extracted from the source and focused down to the target. The beam spot size from 20% to 80% is around 25 um. This result matches with both IGUN and Munro's simulation results very well. Beam exposure results later shown in Chapter 5 will also confirm this measurement.

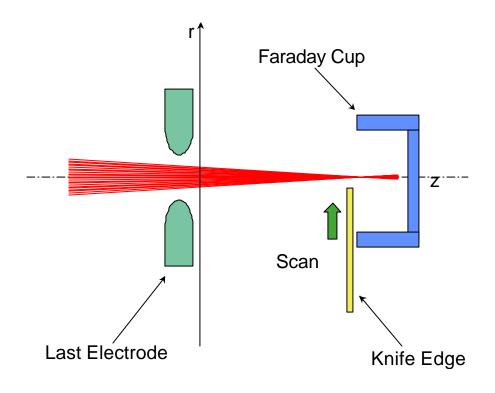


Figure 4.13 Schematic diagram of the beam spot size measurement setup.

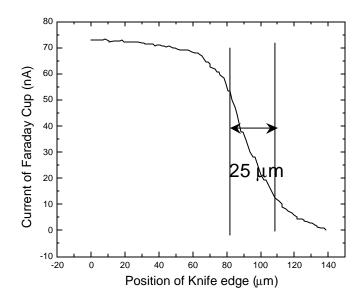


Figure 4.14 Results of the beam spot size measurement.

4.7 Improvement of ion optics design

More has to be done for further improving the ion optics design to achieve smaller spot size. First, by reducing the beam angle, aberration can be decreased significantly, as discussed in Section 4.5.1. Second approach is to use a smaller extraction aperture. With the same demagnification factor, smaller image should be obtained.

4.7.1 Adding limiting aperture

A limiting aperture can be added between the two lenses, stopping the large angle ion beams from reaching the downstream. With an aperture of 100 µm placing at the position shown in Fig. 4.15, the aberration reduces from 16 um to 2um. However, such an approach will sacrifice beam current.

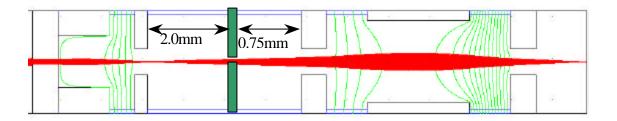


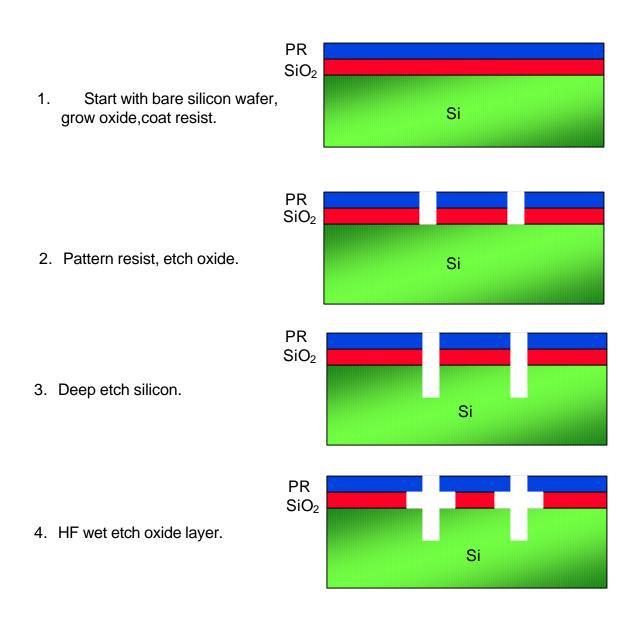
Figure 4.15 A limiting aperture to reduce aberration.

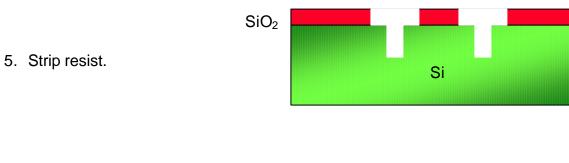
4.7.2 Reducing size of extraction aperture

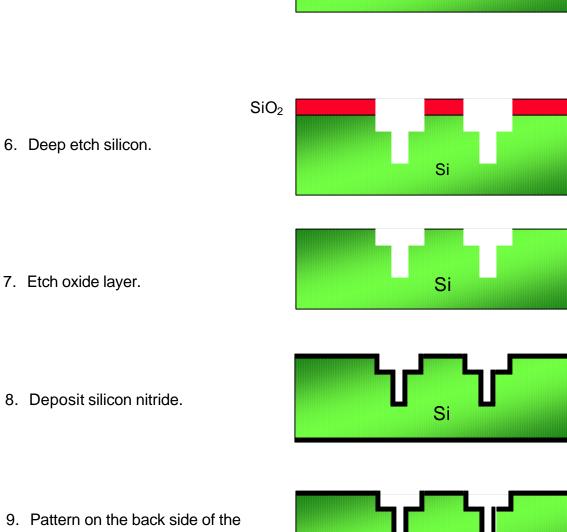
With the same ion optics design, ten times smaller spot size could be achieved if using a 5 μm extraction aperture. Fabrication of a 5 μm counterbored aperture and maintaining enough extraction current are the two challenges.

4.7.2.1 Fabrication of a 5 mm counterbored aperture

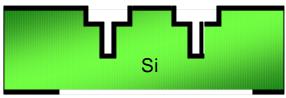
According to Munro's simulation, the optimum design for a 5 μ m counterbored aperture design is: D_1 =5 μ m, L_1 =40 μ m, D_2 =40 μ m, L_2 =40 μ m. (The definition of D_1 , L_1 , D_2 , and L_2 are the same as in Fig.4.6) Since there is a limitation for mechanical drilling, microfabrication processes are needed to fabrication such an extraction aperture. The proposed the process sequences are shown in Fig. 4.16.



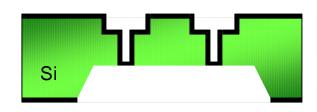




9. Pattern on the back side of the wafer.



10. KOH etch silicon.



11. Strip silicon nitride layer.

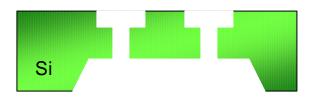


Figure 4.16 Proposed process flow for 5µm counterbored aperture fabrication.

4.7.2.2 Extraction current with smaller extraction aperture

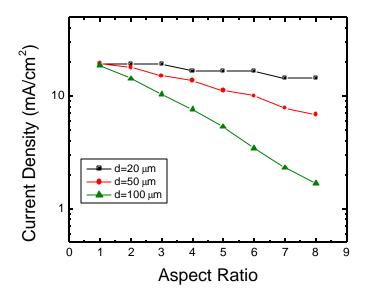


Figure 4.17 Dependence of the extracted current density on the aperture aspect ratio.

One question that may be raised is: "How much is the current that can be extracted from a smaller aperture with a high aspect ratio?" IGUN simulation indicates that actually the current density obtained from a smaller aperture is higher than that from a larger aperture if their aspect ratios are kept the same. Figure 4.17 shows the relationship

of the current density and the aspect ratio for three different aperture sizes: 100 μ m, 50 μ m, and 20 μ m. For each aperture, the extracted current density decreases with increasing aspect ratio. However, for the same aspect ratio, (e.g. aspect ratio = 8), the current density extracted from the 20 μ m aperture is almost ten times larger than that from the 100 μ m aperture.

Figure 4.18 shows the beam trajectories of the extracted beam for different size aperture with the same aspect ratio. At the source side, the equal potential line tends to bulge into the extraction aperture. Beams will be bent towards the sidewall of the channel. The longer the channel is, the more beams are lost. Therefore, the higher the aspect ratio, less beams are extracted. However, the equal potential line at the entrance to the smaller aperture is flatter than those to larger one, which results in less bending of the beam. So, fewer beams are lost.

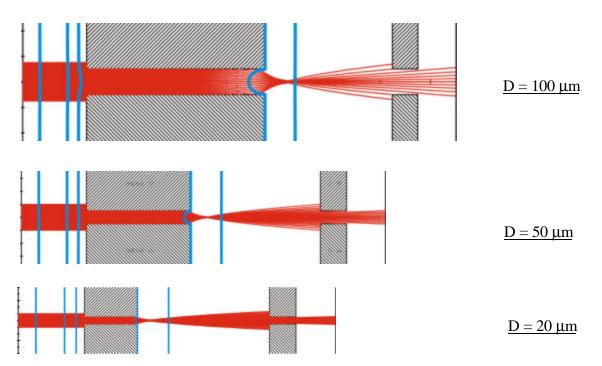


Figure 4.18 Beam trajectories simulated by IGUN for extraction aperture of different sizes.

In the cases discussed above, no bias voltage is applied between the source and the first extraction aperture. If negative bias voltage is applied on the extraction aperture relative to the source, the incident ions gain some initial energy before they enters the column. Less beam bending occurs with higher initial energy. Simulations confirm that higher beam injection energy causes less beam lost in the channel, which is shown in Fig. 4.19.

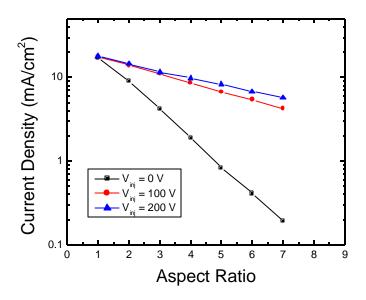


Figure 4.19 Dependence of the extracted current density on the bean injection energy.

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- ⁴ R. Becker, and W. B. Herrmannsfeldt, 'IGUN-a program for the simulation of positive ion extraction including magnetic fields', *Rev. Sci. Instrum.*, **4**, pp. 2756-2758, 1992.
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- ⁷ J. Reijonen, M. Eardley, R. Keller, J. Kwan, K. N. Leung, D. Pickard, R. Thomae, and M. D. Williams, "Multicusp ion source for induction linac applications", *Proceedings of the PAC Conference*, pp1943, 1999.

⁸ MEBS Ltd., England. "Munro's Electron Beam Software User Manual", 1997.

Chapter 5

Maskless, Resistless Ion Beam Lithography Processes

1.5 Production of various ion species

Direct doping of a Si wafer with a focused P^+ or BF_2^+ beam eliminates the necessity of resist which is conventionally used as a mask for ion implantation. Thin oxide formed by selectively oxidizing the poly-Si surface with a focused Q_2^+ beam can be used as a hard mask for gate patterning in CMOS device process. Neither a stencil mask nor resist material is needed in such a lithography process. All these require the multicusp plasma source to produce P^+ , BF_2^+ , and O_2^+ beam.

The constituents of the plasma are ions and electrons, as well as un-ionized neutrals. In general, most discharges contain not only positive ions, but also negative ions. By changing the polarity of the extraction system, either positive or negative ion beams can be extracted. As shown in Fig. 5.1, Negative ion implantation or deposition reduces surface charging of the insulated substrate, because the outgoing secondary electrons

balance the incoming negative charge of the ions.¹ In FIB lithography application, the critical dimension is less deteriorated by the deflection of charged substrate, and an external source of electrons for charge neutralization is not needed. In this section, both positive and negative ions production by multicusp plasma source will be presented.

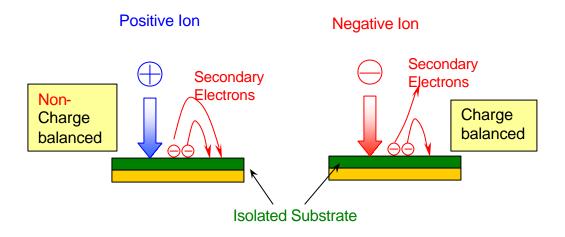


Figure 5.1 Less surface charging by using negative ions.

5.1.1 Phosphorus ions: P_x^+ and P_x^- ions (x=1, 2, 3, 4, 5)

To generate phosphorus plasma, vaporization of solid phase phosphorus has been studied. A small oven as shown in Fig. 5.2 is attached to the gas inlet on the source back plate. The oven is heated up to around 425°C to vaporize the sample. Phosphorus vapor is introduced into the multicusp source to generate phosphorus plasma.

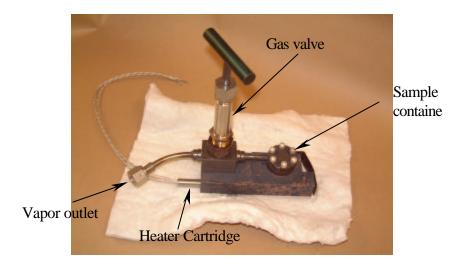


Figure 5.2 Picture of small oven used to vaporize solid phosphorus.

Figure 5.3 are the mass spectra of phosphorus plasma, for both positive and negative ions. From Fig 5.3(a), Over 90% of the positive ion species are P^+ . Less than 7% are P_2^+ . P_3^+ and P_4^+ are less than 1%. On the mass spectrum, the peak of Ar^+ is due to the residue argon gas in the source. Argon is used as start-up gas to warm up the source wall before phosphorus vapor is injected into the source chamber. It helps to reduce phosphorus condensation. After stable phosphorus plasma is achieved, argon gas supply will be shut off. Eventually all argon gas in the source chamber should be pumped away. As shown in Fig 5.3(b), more negative cluster phosphorus ions such as P_2^- , P_3^- etc. are produced than positive ones.

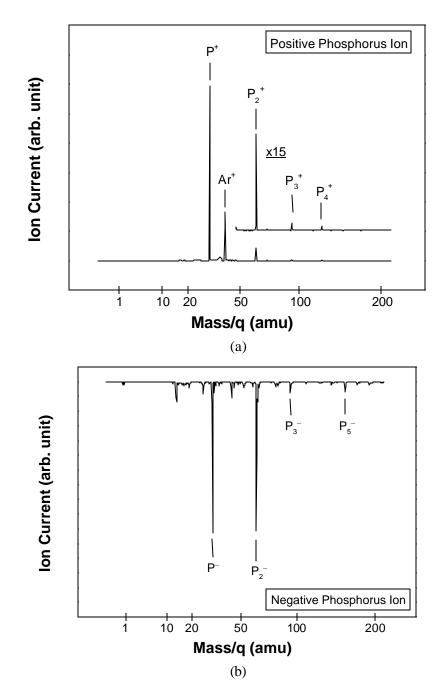


Figure 5.3 Mass spectra of Phosphorus plasma. (a) positive ions; (b) negative ions.

5.1.2 Boron ions: BF_x^+ and BF_x^- ions (x=1, 2, 3, 4, 5)

Figure 5.4 are the mass spectra of BF_3 plasma for both positive and negative ions. For positive ions in Fig. 5.4(a), B^{\dagger} , BF^{+} , BF_{2}^{+} ions are major ion species. Compared to BF^{+} and BF_{2}^{+} , B^{+} is negligible. For negative ions, higher florin content cluster ions are

observed. This is probably due to the higher electron affinity with the present of F. However, BF_2^- was not found in the spectrum.

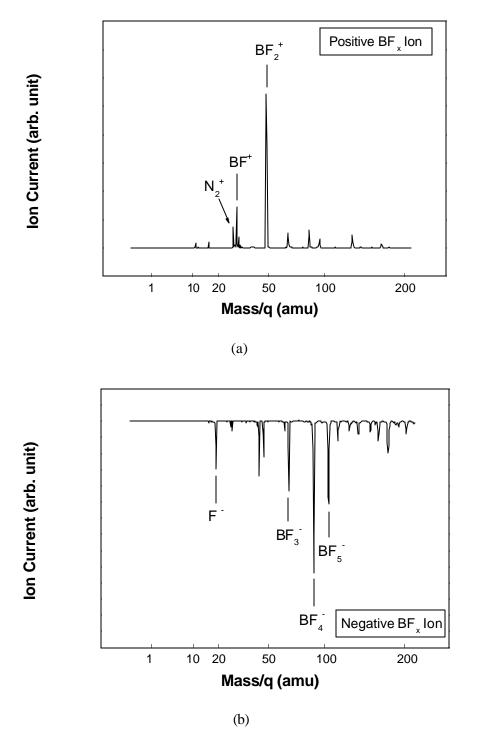


Figure 5.4 Mass spectra of BF₃ plasma. (a) positive ions; (b) negative ions.

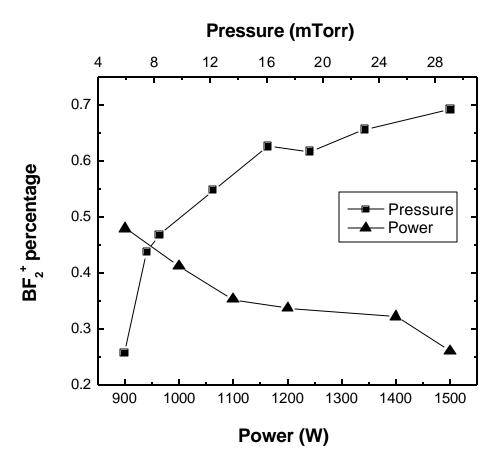


Figure 5.5 Relationship between BF₂⁺ percentage in a BF₃ plasma and gas pressure, RF power.

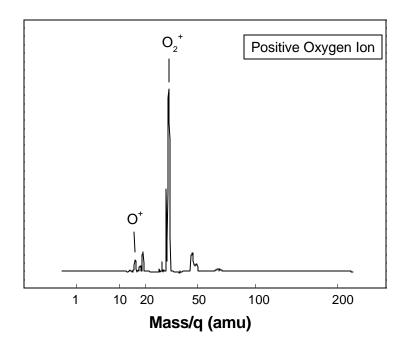
Focused boron ions can be selectively implanted into the Si substrate to form ultra shallow junction. The maximum production of single ion specie is very important to control critical dimension and the junction depth profile. Fig. 5.5 shows the relationship between BF₂⁺ ion percentage and source power and BF₃ gas pressure. The BF₂⁺ percentage was measured from different mass spectra at various RF power and BF₃ gas pressure. At 1000W RF power, BF₂⁺ ion percentage increases from 25% at 5 mTorr to almost 70% at 25 mTorr; at 6mTorr of BF₃ gass pressure, BF₂⁺ ion percentage decreases

from 50% at 900 W to around 25% at 1500 W. By optimizing the gas pressure and source power, over 85% of BF_2^+ ions can be achieved at 25 mTorr and 900 W conditions.

5.1.3 Oxygen ions: O_x^+ and O_x^- ions (x=1, 2)

Figure 5.6 is the mass spectra of oxygen plasma for both positive and negative ions. For the positive ions, two ion species are produced: O^+ and O_2^+ . Adjusting the source operation condition, which is similar as that for BF_2^+ production, can optimize the percentage of O_2^+ ions. By increasing the oxygen gas pressure, decreasing the RF power, and decreasing the gap between RF quartz antenna and extraction aperture, the percentage of O_2^+ increases. Over 90% of O_2^+ can be achieved. For the negative oxygen ions as shown in Fig 5.6(b), much more O_2^- ions were produced than O_2^- ions.





(a)

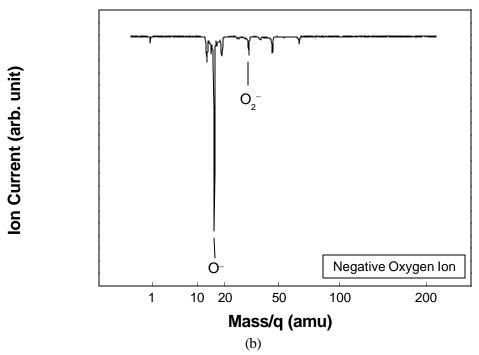


Figure 5.6 Mass spectra of Oxygen plasma. (a) positive ions; (b) negative ions.

1.6 Direct write processes

5.2.1 Direct doping using boron and phosphorous beam

The process of direct implantation is: implant focused BF_2^+ or P^+ ion beams directly into the silicon, and then activate the dopants by rapid thermal annealing. In order to achieve shallow p-n junction, low energy boron or phosphorus ions should be used so that the dopants won't penetrate too deep into the substrate. Figure 5.7 and Figure 5.8 shows the $TRIM^2$ simulation results of implantation profile and ion distribution for 1.0 keV B^+ and 5 keV P^+ respectively. The energy of BF_2^+ beam can be 4 keV, because when BF_2^+ ion reaches Si substrate, it breaks up into one boron atom and two fluorine atoms. Boron atom only carries about 22% of the total energy.

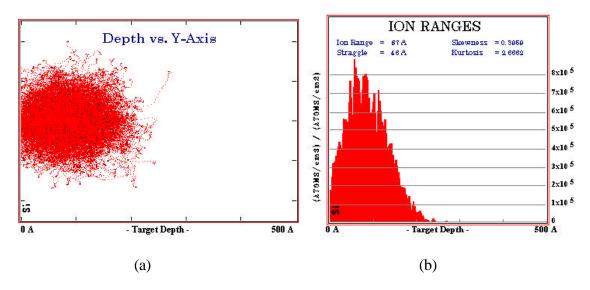


Figure 5.7 TRIM simulation results of 1.5 keV B⁺ implanted into Si substrate. (a)Implantation profile, (b)Ion distribution.

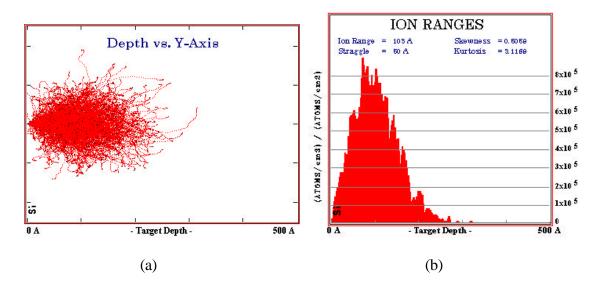


Figure 5.8 TRIM simulation results of 5 keV P⁺ implanted into Si substrate. (a)Implantation profile, (b)Ion distribution.

To confirm this process, 5 keV P^+ ions was implanted into a p-type silicon substrate, followed by a rapid thermal annealing at 975°C for 10 seconds. Rutherford Backscattering Spectrum shown in Fig. 5.9 was measured before the RTA. Phosphorus peak in the plot confirms the implantation. The dose derived is about 10^{16} /cm2. The Hall effect measurement of the sample shows that the electron concentration is about 2.5×10^{18}

cm-3 and electron mobility is around 200 cm²/V·s. The activation is low because there is no oxide layer to cap the wafer, and phosphorus diffuses during RTA process.

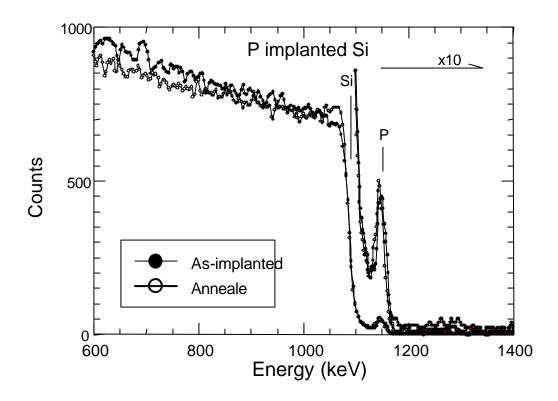


Figure 5.9 Rutherford backscattering spectrum of as-implanted and annealed Si wafer after doped with 5 keV P⁺ ion beam.

5.2.2 Surface modification using oxygen beam

Selective oxidation of a Si film by AFM lithography has been demonstrated to be a viable technique for fabrication of 0.1 µm devices.³ A thin (3 nm-thick) surface oxide serves as an etch mask for patterning of the Si film, which can subsequently be used as a mask to pattern underlying films. But the current form an AFM tip is only at pico-ampere range, the low throughput prevented it from application to massive device fabrication.

Similar to the low energy boron implantation, when 3 keV ${\rm O_2}^+$ ion reaches Si substrate, it breaks up into two oxygen atoms. Each oxygen atom carries half of the total

energy. Figure 5.10 shows the TRIM simulation results of implantation profile and ion distribution for 1.5 keV O⁺. In the simulation, around 20Å thick native oxide was taken into account.

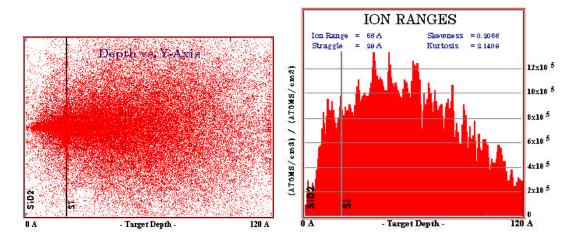


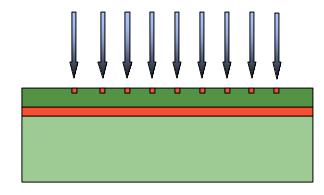
Figure 5.10 TRIM simulation results of 1.5 keV O⁺ implanted into Si substrate. (a)Implantation profile, (b)Ion distribution.

The process of directly oxidizing silicon or polysilicon surface is as shown in Fig. 5.11. The test wafer was prepared with 1500Å-thick poly-Si on top of a layer of thermal oxide, which is usually the etch stop in silicon etching process. Implant O_2^+ ions at energy of 3 keV to form a thin silicon dioxide layer, which acts as the hard mask in the following reactive-ion etching process to pattern poly-Si gate.

a) Start with a bare Si wafer. Grow thermal oxide as etch stop. Deposit



b) Expose the wafer to focused O_2^+ beam. It forms SiO_2 at the poly-Si surface.



c) Use the formed SiO₂ as a hard mask, pattern the poly-Si using dry etch.

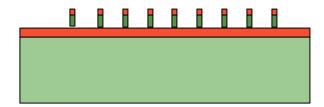


Figure 5.11 Process flow of direct poly-Si patterning using focused O₂⁺ beam.

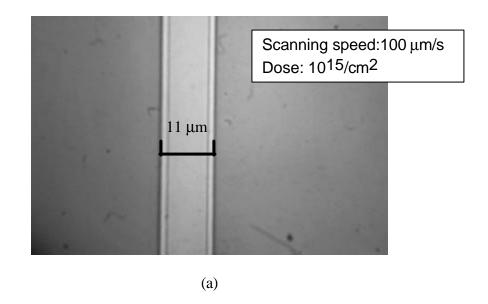
In order to consume least oxide mask, limited native oxide breakthrough and silicon etching with highest Si:SiO₂ selectivity is chosen. As shown in Table 5.1, in the first step, minimum RF power and etching time is used. The RF power applied on the top electrode is 70 W, and 10 W on the bottom electrode. The gas flow of CF₄ is 100 sccm, and the chamber pressure is kept around 13 mTorr. Only 5 sec breakthrough time is enough to strip the native oxide. In the second step, an over-etch step in a conventional reactive-ion silicon etching process is adopted. The RF power applied on the top

electrode is 250 W, and the 120 W on the bottom electrode. The gas flow of HBr is 200 sccm and that of O_2 is 5 sccm, and the chamber pressure is kept around 35 mTorr. Poly-Si film with 1500Å thickness can be etched within about 20 to 30 seconds.

Table 5.1 Recipe for poly-Si etch with high Si:SiO₂ selectivity.

G.	37.7 11.1 1.1 1	Q' + 1
Step	Native oxide breakthrough	Si etch
Pressure	13 mTorr	35 mTorr
RF Power	70 W (top), 10 W(bottom)	250 W(top), 120 W(bottom)
Gas	CF ₄ at 100 sccm	HBr at 200 sccm, O ₂ at 5 sccm
Time	5 sec	About 20 sec

Since no deflector is included in the focusing column, lines of SiO₂ is formed simply by mechanically moving the wafer holder. Different dose is obtained by different scanning speed. Figure 5.12 and Figure 5.13 shows the patterned poly-Si line at two different doses and their corresponding profiles. In Figure 5.12, the scanning rate of the sample stage is 100 μ m/sec, and the oxygen dose is about 10^{15} cm⁻². The line width of the poly-Si after etching is about 11 μ m, and its thickness is about 1400 Å. In Figure 5.13, the scanning rate of the sample stage increases to 250 μ m/sec, and the oxygen dose is about 6×10^{14} cm⁻². The line width reduces to 9 μ m and its thickness is only 900 Å. Lower oxygen dose results in thinner oxide layer formed. With less hard mask thickness, thinner poly-Si is achieved.



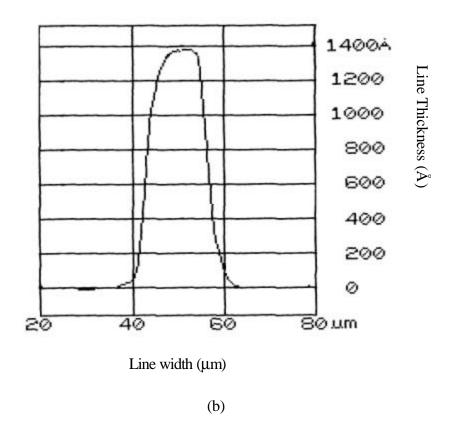


Figure 5.12 (a) Micrograph of patterned poly-Si features with focused O_2^+ beam at dose of 10^{15}cm^{-2} . (b)Profile of the poly-Si line.

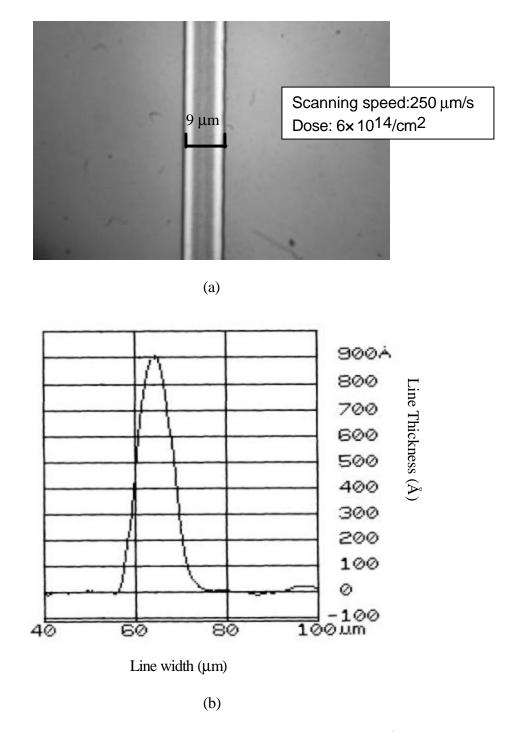


Figure 5.13 (a) Micrograph of patterned poly-Si features with focused O_2^+ beam at dose of 6×10^{14} cm⁻². (b)Profile of the poly-Si line.

Poly SiGe is often used as gate material in MOSFET. Studies of direct pattering poly SiGe have also been performed. Since poly SiGe oxidizes faster than poly Si, thicker

native oxide layer is expected. Longer native oxide breakthrough and main silicon etching are necessary to avoid 'black silicon islands'. The modified recipe is shown in Table 5.2. In the breakthrough step, the RF power applied on the top electrode is changed to 200 W, and 40 W on the bottom electrode. A 5-sec breakthrough time is needed to strip the native oxide. A 3-sec main etch step is added. The RF power is 300 W on the top and 150 W on the bottom. C½ flows at 50 sccm, HBr flows at 150 sccm, and the chamber pressure is kept around 12 mTorr. The over etch step is the same as that for poly-Si. Compare to poly-Si patterning, the oxygen dose for patterning is about twice as high. About 12 μm wide, 1200 Å thick poly-Si_{0.8}Ge_{0.2} line is obtained with the scanning speed of 50 μm/sec.

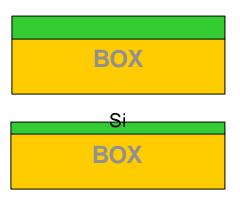
Table 5.2 Recipe for poly-Si_{0.8}Ge_{0.2} etch with high Si:SiO₂ selectivity.

Step	Native oxide	Main etch	Over etch
	breakthrough		
Pressure	13 mTorr	12 mTorr	35 mTorr
RF Power	200 W (top),	300 W(top),	250 W(top),
	40 W(bottom)	150 W(bottom)	120 W(bottom)
Gas	CF ₄ at 100 sccm	Cl ₂ at 50 sccm	HBr at 200 sccm,
		HBr at 150 sccm,	O ₂ at 5 sccm
Time	5 sec	3 sec	About 20 sec

5.3 SOI MOSFET fabrication using maskless resistless ion beam lithography

1. Start with a SOI wafer with 1000Å Si on 4000 Å buried oxide.

2. Thin down the silicon film by thermal oxidation. Pattern source and drain islands.



3. Grow thin gate oxide and deposit P-in-situ doped poly-Si.

Poly Si

BOX

4. Selectively oxidize poly-Si surface using focused O2+ beam, pattern poly-Si gate.

EOX

5. Selectively dope source and drain using focused P+ beam, RTA, probe device.

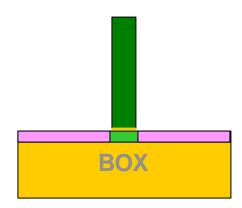


Figure 5.14 Process flow of SOI MOSFET fabrication using maskless, resistless ion beam lithography.

In order to demonstrate maskless, resistless ion beam lithography process, a SOI MOSFET is fabricated. The process flow is shown in Figure 5.14. A SOI wafer with 1000Å silicon film on top of 4000Å buried oxide is thinned down by thermal oxidation.

After four cycles of oxidation at 950°C for 70 minutes followed by HF oxide etch, about 300 Å of silicon is left. Source and drain areas are directly patterned using focused oxygen beam at dose of about 10¹⁴/cm² (stage scanning speed of 500 um/sec). About 80 Å of gate oxide is grown at 900°C for 12 minutes. About 1200 Å of phosphorus in-situ doped poly-Si film is deposited on afterwards. Then, the wafer is exposed to focused oxygen beam to form oxide hard mask. After the gate is patterned, focused phosphorus ions is used to dope both source and drain area. Finally, rapid thermal annealing is performed to active the dopants, followed by the HF dip to remove any remaining oxide film. The pattered device is shown in Figure 5.15. During all the processes, neither mask nor resist is involved.

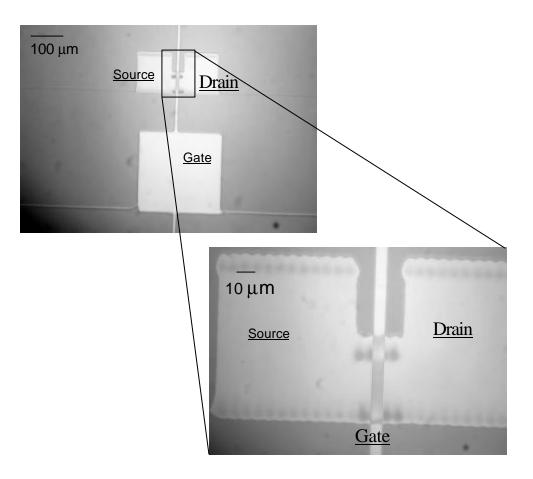


Figure 5.15 Micrograph of patterned SOI MOSFET.

References:

- ¹ J. Ishikawa, "Negative-ion sources for modification of materials (invited)", *Rev. Sci. Instrum.* 67, 1410(1996).
- ² J. Ziegler, "The Stopping and Range of Ions in Matter", *SRIM & TRIM software*, 2000.
- ³ S. C. Minne, H. T. Soh, Ph. Flueckiger, and C. F. Quate, 'Fabrication of 0.1 mu m metal oxide semiconductor field-effect transistors with the atomic force microscope", *Appl. Phys. Lett.* **66**, pp.703-705, 1995.

Chapter 6

Multiple Focused Ion Beam System

Exposure throughput is always an issue for both electron beam and ion beam lithography system. In order to process sixty 300-mm-diameter wafers per hour, multiple beamlets system is one of the promising solutions.

Figure 6.1 shows the schematic diagram of a multiple focused ion beam system. A multicusp plasma ion source is employed to generate the desired ion species, such as O_2^+ , BF_2^+ , and P^+ etc. As mention in Chapter 2, this type of source can generate uniform and large area plasma, therefore, only one source is needed to generate multiple beams. A stack of electrodes with multiple apertures act as extractors and focusing lens to extract the beam from the source, accelerate to certain energy, and then focus to a small beam size at the target. Individual beamlet can be switched off simply be applying +30V on the extraction electrode relative to the source. The middle electrode of the finzel lens can be split as shown in Fig 6.2 to act as a deflector.

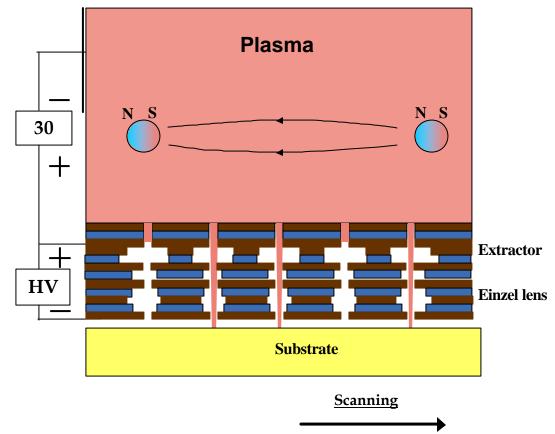


Figure 6.1 Schematic diagram a multiple focused ion beam system.

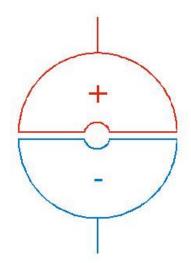


Figure 6.2 Deflector electrode.

To the first order, the amount of current required to expose an entire wafer in an allotted time can be calculated using the following formula:

$$I = \frac{Area_{\exp ose} \cdot Dose}{Time_{\exp ose}}$$

For selective surface modification and direct doping, the dose requirement is on the order of 10¹⁵/cm². In order to directly write a 300-mm wafer (assuming 50% of the area is exposed) in 60 seconds, the total current of 0.94 mA will be required. The total number of columns needed depend on the amount of current that can be delivered to the target plane for each beamlet. As stated in Chapter4, for each beamlet, it is not difficult to achieve a current density of 10A/cm² at the target. If the beam spot size is 50 nm, the current available for each beamlet is 196 pA. Therefore, total of around 4 million columns are needed to fulfill the throughput. ²

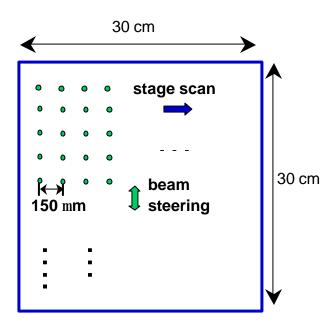


Figure 6.3 Layout of multiple beamlets.

Fig 6.3 shows the layout of multiple beamlets. 2190×2190 beams are arranged in a way as shown in the plot. The gap between each adjacent beamlet is 150 μ m, and 2190 beams cover a spatial dimension of 300mm. It turns out the each beamlet only needs to cover an area of 150 μ m × 150 μ m. Beams are deflected in one direction, and the substrate itself is scanned in the perpendicular direction. With an individual beam current of 196 pA, it will take approximately about 16 μ s to expose a 50 nm spot. Each beamlet scans a total length of 150 μ m in 50 nm steps and will take 48 ms to complete a full sweep. The beam switching rate must be on the order of 62.5 KHz in order to turn the beam on or off with each 16 μ s exposure.

All the data rates calculated above seem to be achievable. However, still a lot of challenges exist to fulfill multiple beamlets, which are listed as follows:

- Four million is a huge number. Fabricating a stacking column with such a huge amount of apertures is not easy.
- 2. To ensure good alignment for all the four million columns is a tough job, unless self-align fabrication technique is adopted.
- 3. The aperture size of each electrode is limited to at least $75 \, \mu m$, which is half of the distance of the adjacent beams. Therefore new column ion optics design that takes this into account needs to be performed.
- A lot of efforts have to be devoted in developing the circuits to control the beam switching and beam steering.

All these indicate that it will be more practical if the total number of beamlets can be reduced. If the focused current density of each beamlet increases by a factor of hundred, the total number of beamlets will reduce to around 40000. In this case, with an individual

beam current of 19 nA, it will take approximately about 160 ns to expose a 50 nm spot. The beam switching rate must be on the order of 6.25 MHz in order to turn the beam on or off with each 160 ns exposure. The gap between each adjacent beamlet is 1.5 mm Each beamlet scans a total length of 1.5 mm in 50 nm steps and will take 4.8 ms to complete a full sweep. Larger distance between two adjacent beamlets relieve some of the constraint of ion optics design, however faster control circuit and substrate stage with faster scanning speed are required. A comparison of these two cases is summarized in Table 6.1.

Table 6.1 Comparison of two microcolumn arrays.

	2190×2190 array	219×219 array
Current of each beam	196 pA	19.6 nA
Time to expose each pixel	16 μs	160 ns
Switching rate	62.5 kHz	6.25 MHz
Distance of adjacent beam	150 μm	1.5 mm

References:

¹ V. V. Ngo, B. Akker, K. N. Leung, I. Noh, and S. Wilde, "Demonstrations of Electronic Pattern Switching and 10× pattern demagnification in a maskless micro-ion-beam reduction lithography system", *46th International Conference on Electron, Ion, and Photon Beam Technology and Nanofabrication*, Anaheim, CA, May28-31, 2002.

² K. L. Scott, "Maskless ion beam lithography using microcolumn arrays", *Master Thesis*, spring 2001.

Chapter 7

Conclusion

In conclusion, maskless, resistless ion beam lithography is one of the promising techniques for sub-100nm lithography. A comprehensive study has been carried out in this thesis work in the following topics: choice of ion sources, improvement of the brightness of the ion source, design and testing of an all-electrostatic accelerating column for ion extraction and focusing, process development for direct doping using BF₂⁺ and P⁺ ions, process development of direct patterning of silicon film using focused oxygen beam, and fabrication of a SOI MOSFET using maskless, resistless patterning technique.

7.1 Contributions

Multicusp plasma ion source has been shown to be promising for maskless, resistless ion beam lithography. It can generate uniform, large area plasma so that only one source is needed to produce thousands or millions of beams to enhance throughput. It can generate ion beams of various elements, which makes resistless processing possible. The

low axial energy spread results in low chromatic aberration in ion optics, which eases the design of low energy ion beam focusing lens.

The mass spectra of both positive and negative ions have been measured for phosphorus, BF_3 and oxygen multicusp plasmas. More varieties of negative ions were observed in both the Phosphorus plasma and BF_3 plasmas. Over 90% of P^+ ions have been achieved. Increasing the gas pressure and decreasing the source power can maximize the production of BF_2^+ and O_2^+ . With optimization of source operation parameters, around 85% of BF_2^+ and over 90% of O_2^+ have been achieved.

The measured spectral brightness of this ion source is better than 10⁴ A/cm²ASrAeV at beam energy of 600eV, which is about two orders of magnitude higher than that of the conventional plasma sources. The brightness of the ion beam extracted from a multicusp ion source can be high if it is operated in the inductively coupled mode and if the beam extraction system is optimized to reduce aberrations. For a 7.5-cm-diameter source with a 50-µm counter-bored extraction aperture, the measured brightness (440 A/cm²Sr) is significantly higher than in previously reported work. Additional increases in RF power as well as improved source design are being investigated to further improve the brightness in order to meet the requirement of 1000 A/cm²Sr for high-throughput maskless lithography.

An all-electrostatic accelerator column has been designed to extract Q_2^+ ions from the source and accelerated to 3 keV. 73 nA of Q_2^+ ion beam can be extracted from the source using such a column. Numerical analyses using both IGUN and Munro's code have shown that the demagnification factor of this two-lens system is $10\times$, however, due to

aberration limitation, the final beam spot size is around 16 μ m (corresponding to a 3× demagnification). Experiments show that the beam spot size from 20% to 80% is around 25 μ m, which matches with the simulation results very well. Further reducing the beam half angle by placing a limiting aperture between the two lenses can decrease the aberration significantly.

It has been demonstrated that focused P^+ ions can be directly implanted into silicon at dose of 10^{16} cm⁻², resulting electron density of 2.5×10^{18} cm⁻³ and mobility of 200 cm²/V·s. The process for direct patterning using focused O_2^+ ion beams has also been demonstrated. At a dose of 10^{15} /cm², focused O_2^+ ion beams can be used to selectively form a thin surface oxide film, which serves as a hard mask for patterning the underlaying Si film. A SOI MOSFET device has been successfully fabricated using the maskless, resistless lithography to pattern gate electrode and form source/drain region.

A multiple-beam system can be built by stacking multi-aperture, electrode-insulator structure so that each beam is accelerated with the same electrode potentials. Parallel processing with multiple beams can greatly enhance the throughput of a FIB lithography system.

7.2 Suggestions for future work

Much remains to be investigated and improved. Further improvement of column design is needed to achieve smaller beam spot size: adding a limiting aperture to reduce aberration, and fabricating an extraction aperture of 5 μ m and smaller. Brightness needs to be measured for the source with external antenna. Switchable extractor system needs to

be investigated to demonstrate multiple beam feasibility. Beam-to-beam interactions must be analyzed to make sure no cross talk between adjacent beamlets. Other applications, for example, copper deposition and removal, can be investigated using maskless, resistless ion beam systems.